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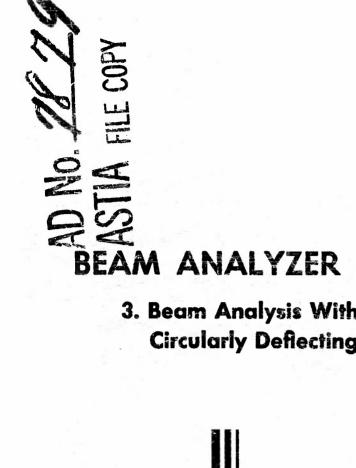
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3. Beam Analysis With a **Circularly Deflecting System** 

> N6-ori-71 Task XIX NR 073 162

TECHNICAL REPORT No. 5-3

ELECTRICAL ENGINEERING RESEARCH LABORATORY ENGINEERING EXPERIMENT STATION UNIVERSITY OF ILLINOIS URBANA, ILLINOIS

#### BEAM ANALYZER

3. BEAM ANALYSIS IN A CIRCULARLY DEFLECTING SYSTEM

N6-ori-71 Task XIX Technical Report No. 5-3 NR 073 162

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#### INTRODUCTION

This report presents an application of a circularly deflecting system to an analysis of an H.F. modulated electron beam with respect to its density and velocity distribution during one cycle at any given point on the beam.

The deflecting system, denoted as "phase writer" and reported elsewhere, was designed to serve the purpose of circularly deflecting an H.F.-modulated electron beam with the same frequency as the beam is modulated, in order to obtain a conversion of each phase element somewhere on the beam into a separable geometrical deflection angle element.

Thus, "phase writer" was considered an appropriate notation.

In Technical Report 5.2 "Chromatic and Space Charge Aberrations in Circularly Deflected Electron Beams" it was pointed out that any deflecting system of finite extensions will show a certain velocity sensitivity, i.e., the deflection angle will depend upon the velocity of the passing electrons. In the report mentioned above, this effect was treated in the sense of an aberration. However, a controlled aberration can be used as an indicator just as chromatic aberrations in a prism are essential for spectroscopy.

In this report it will be shown that the chromatic aberrations of the deflecting system in question can be utilized so that this system may serve not only as a phase writer system, but also as a velocity spectrograph. A system for experimental verification of these considerations was conveniently at hand and a series of experiments was carried out in which the bunching action in the drift region of a velocity modulated electron beam was made accessible to direct observation. These results were recorded in a set of photographs. Since a calibrated modulator provided the ac component of the velocity of the electrons in the beam, a comparison with a first order bunching theory could be made. The agreement of the observation with the theory is excellent as long as the effects have their cause in the action along the long drift space.

In the vicinity of "bunches", however, space charge effects begin to play a somewhat distorting role. The occurance of such phenomena is directly observable on the screen and can be carefully studied later on the photographic plate.

Since these experiments seem to represent a successful advance in the field of H.F. modulated beam analysis, it was decided to present this study in this Report.

<sup>\*</sup>Contract N6-ori-71 Task XIX, Progress Reports 9 to 14; Technical Report No. 5-2.

#### TABLE OF CONTENTS

			Page					
Intro	oduci	tion	ii					
Part	I	Velocity Sensitivity of the Deflector						
	1.	The System						
	2.	Velocity Sensitivity						
		2.1 Velocity Sensitivity of a Single Pair	7					
		2.2 Velocity Sensitivity of the Double Pair	7					
		2.3 Velocity Sensitivity of the Whole System	8					
	3.	Experimental Determination of $\sqrt{U_m}$ and $\sqrt{U_s}$	12					
		3.1 Determination of U <sub>m</sub>	12					
		3.2 Determination of $\sqrt{\widetilde{U}_S}$	18					
		3.3 Check of the Influence of both $\sqrt{U_m}$ and $\sqrt{U_s}$	19					
Part	II	Beam Analysis of a Velocity Modulated Electron Beam	25					
	Introduction							
	1.	The Modulator	27					
	2.	First Order Bunch Theory	30					
	3.	Observation of the Bunching Process	39					
Part	III	The Experimental Setup	52					
	1.	Description of the Apparatus	53					
	2.	The Microwave Circuit	60					
	3.	The Method	63					
	4.	An Idealized Beam Analyzer	64					
	5.	Conclusions	66					
Part	IV	Appendices	67					
	App	endix I - Symbols	68					
	Appendix II							
	Appendix III - References							

# PART I VELOCITY SENSITIVITY OF THE DEFLECTOR

H M Von Foerster

#### 1. THE SYSTEM

A brief recapitulation of the essential features of the deflecting system may be appropriate. The principle of the deflecting mechanism consists of two pairs of shorted Lecher wires, placed perpendicular to each other and excited in such a manner that the maxima of the standing waves on both wire pairs fall on the cross point of the pairs. The electron beam is shot through the little square-shaped window which is formed by the edges of the wires. (See Fig. 1.)

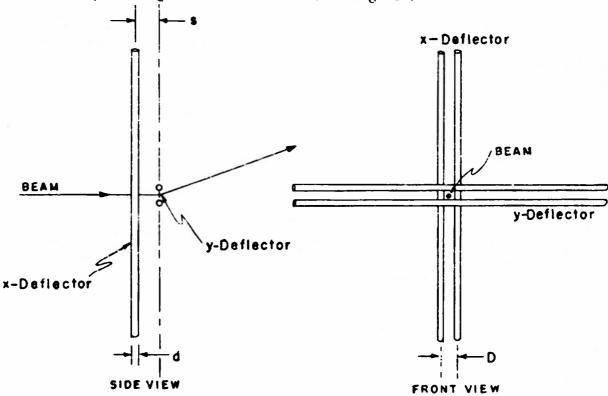


FIGURE 1 DEFLECTOR SYSTEM, SCHEMATICALLY

As has been shown in preceding reports, each wire pair will cause an electron beam with the voltage U to deflect R centimeters on a screen according to the formula

$$R = L \cdot A \cdot \sqrt{P_D} \frac{1}{U} e^{-2\sqrt{U_m}/U}$$
 (1)

where

L is the distance from the wire pair to the screen in centimeters  $P_D$  is the power in watts fed into the deflector U is the beam voltage in volts.

The symbols A and  ${}^{\circ}U_m$  represent constants defined by the geometry of the system. A of minor importance in this context, can be approximated as

$$A = \frac{4\lambda}{b + d}$$

whereas

$$\sqrt{U_{\rm m}} = \frac{\pi}{4} \cdot 10^{3} \cdot \frac{D}{\lambda} \cdot \sqrt{1 + \frac{2d}{D}} \tag{2}$$

where

D is the spacing of the two wires

d is the diameter of one wire

 $\lambda$  is the free space wave length of the applied signal

It will be shown later that  $U_m$  represents the beam voltage which produces the maximum deflection for a given system. Operating at frequencies of about 3000 https:  $U_m$  turns out to be of the order of hundreds of volts.

To demonstrate how the deflection R is related to the applied beam-voltage, U, the function R = R(U) is plotted in Fig. 2. Both coordinates are normalized with respect to their maxima  $R_m$  and  $U_m$ . The maximum deflection  $R_m$  is readily obtained by inserting  $U_m$  for U in Eq. (1) One gets

$$R_{\rm m} = \frac{AL \, v \, \overline{P_{\rm D}}}{U_{\rm m} e^2} \tag{3}$$

If the two systems are simultaneously and equally strongly excited and oscillate with a phase difference  $\phi_1$ , then an electron passing the first system will accordingly be deflected in the x-direction. After traveling the transit angle  $\phi$ , the electron will be influenced by the second system which imposes on it deflections in the y direction. Taking the phase state  $\phi$  of the x-deflector as a reference time for all events along the beam, the locus of all electrons impinging on the screen, after they have passed the two deflectors is thus:

$$x \sim R \cos \varphi$$
 (4)

$$y = R \sin (\varphi - \varphi_1 + \phi)$$
 (5)

R is the deflection in either direction and can be directly obtained from Eq. (1). Since  $\phi_1$  is the phase difference with which the two wire pairs are driven,  $\phi_1$  can be adjusted from outside by stretching the line feeding the y deflector. Choosing  $\phi_1$  so that

$$\varphi_1 = \varphi_1 \tag{6}$$

Eqs (2) and (3) reduce to

$$x = R \cos \varphi$$
  
 $y = R \sin \varphi$  (7)

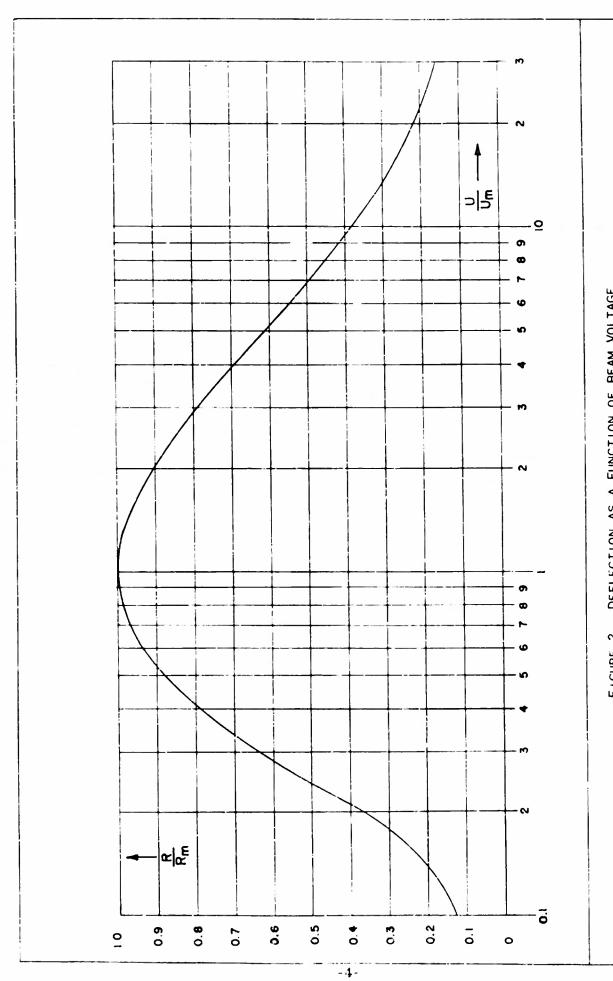


FIGURE 2 DEFLECTION AS A FUNCTION OF BEAM VOLTAGE FOR A SINGLE DEFLECTION WIRE PAIR

and the display of the electrons impinging on the screen is a perfect circle. The transit time  $\phi$  of electrons between the two deflectors is of course, dependent on the velocity of the electrons, and can be expressed as

 $\dot{\varphi} = \frac{\sqrt{U_s}}{\sqrt{\Pi}} \tag{8}$ 

where  $U_s$  is a constant for a given system depending on the distance s between the wire pairs and the wave length  $\lambda$  in the following way:

$$\sqrt{U_{\rm S}} = \pi \cdot 10^3 \frac{\rm s}{\lambda} \tag{9}$$

 $\mathbf{U_{S}}$  represents the beam voltage which makes the drift space 1 radians long

Let us now consider that  $\phi_1$  has been adjusted so that for a particular beam voltage  $U_0$  the "circle condition" (Eq. 6) has been fulfilled

 $\varphi_z = \phi_o - \frac{\sqrt{U_o}}{\sqrt{U_o}}$ .

Then, as was shown in Technical Report 5.2 page 37 electrons having a different energy, say  $U_1$  will display themselves along an ellipse, the major axis of which will be declined 45 degrees against the xy deflectors and all fall either into the (++) (--) quadrants or (+-) (-+) quadrants, depending on whether  $U_1$  is higher or lower than  $U_0$ . (See Fig. 3.)

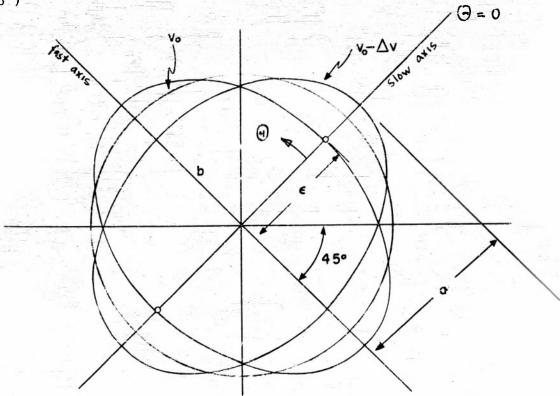


FIGURE 3 THE LOCUS OF ALL POINTS DEFINED BY ARRIVING ELECTRONS HAVING A VELOCITY  $V = V_0 \pm \Delta V$ 

The magnitude of the major and minor axes can be computed to

$$a = R \sqrt{1 + \sin \delta}$$

$$b = R \sqrt{1 + \sin \delta}$$
(10)

where  $\delta$  is the increment of transit angle which was gained or lost due to faster or slower electrons passing the two wire pairs.

$$\delta = \phi_1 - \phi_0 = \frac{\sqrt{U_s}}{\sqrt{U_1}} - \frac{\sqrt{U_s}}{\sqrt{U_0}}$$

for

$$U_o > U_1$$
 (11)

$$\delta = \phi_0 - \phi_1 = \frac{\sqrt{U_s}}{\sqrt{U_0}} - \frac{\sqrt{U_s}}{\sqrt{U_1}}$$

for

$$U_1 > U_0$$
.

If the difference in the energy of electrons in the beam is small

$$|U_1 - U_0| = \Delta U$$

 $\boldsymbol{\delta}$  can be approximated to

$$\delta = \frac{1}{2} \phi_{c} \frac{\Delta U}{U_{o}} . \tag{12}$$

#### 2 VELOCITY SENSITIVITY

In the preceding section it was shown that the velocity of the electrons passing through the system of the two perpendicular crossed wires enters the description of the deflection in a twofold way:

- a according to Eq. (4) which describes the deflection R as a function of the velocity in a single deflector
- b. according to Eqs. (10) and (11) which describe the deflection behaviour of the combined action of the two crossed deflectors.

The over-all velocity sensitivity of the two combined effects can be described as

 $dR = \left(\frac{\partial \mathbf{R}}{\partial \mathbf{U}}\right)_{\mathbf{I}} d\mathbf{U} + \left(\frac{\partial \mathbf{R}}{\partial \mathbf{U}}\right)_{\mathbf{I}\mathbf{I}} d\mathbf{U}$  (13)

if the indices I and II refer to the single and the double pair action respectively

#### 2.1 Velocity Sensitivity of a Single Pair

The expression  $(\frac{\partial B}{\partial U})_{I}$  can be found immediately by differentiating Eq. (4) with respect to U. One obtains

$$\left(\frac{\partial \mathbf{B}}{\partial \mathbf{U}}\right)_{\mathbf{I}} = \frac{\mathbf{R}_{\mathbf{o}}}{\mathbf{U}_{\mathbf{o}}} \left( \sqrt{\frac{\mathbf{U}_{\mathbf{m}}}{\mathbf{U}_{\mathbf{o}}}} - 1 \right). \tag{14}$$

The significance of  $U_m$  now becomes evident. For  $U_0 = U_m$ , the right hand side of Eq. (14) vanishes which can be interpreted in two equivalent statements

- a At that point of operation the deflection of a single wire pair is independent of the electron velocity
- b Vanishing of the first differential quotient indicates operation at the maximum of deflection

#### 2 2 Velocity Sensitivity of the Double Pair

Defining the resulting ellipse in coordinates  $\xi,\eta$  which are  $45^\circ$  declined to the two deflectors, and immediately replacing  $\xi$  by  $r\cos\theta$  and  $\eta$  by  $r\sin\theta$ , where r is the distance from the origin to any point of the ellipse  $\theta^\circ$  away from one of the new axes, using Eq. (10) for a and b one obtains.

$$\frac{r^2 \cos^2 \theta}{1 + \delta} + \frac{r^2 \sin^2 \theta}{1 - \delta} = R^2.$$
 (15)

After simple trigonometric transformations, the change of the radius  $\Delta R = r - R$ , as a function of  $\delta$  and the azimuth  $\theta$  is obtained:

$$\Delta R = R_0 \left( \frac{\cos \delta}{\sqrt{1 + \sin \delta \cos(2\theta)}} - 1 \right)$$
 (16)

Assuming  $\delta$  to be small, thus using Eq. (12) approximating

and expanding the square root Eq (16) reduces to

$$\left(\frac{\partial \mathbf{R}}{\partial \mathbf{U}}\right)_{\mathbf{II}} = -\frac{\mathbf{R}_{\mathbf{o}}}{\mathbf{U}_{\mathbf{o}}} \cdot \frac{1}{4} \frac{\sqrt{\mathbf{U}_{\mathbf{s}}}}{\sqrt{\mathbf{U}_{\mathbf{o}}}} \cos (2\theta) \tag{17}$$

for small velocity changes

It may be noted that the valocity sensitivity of the double system is a function of the azimuth  $\theta$  and assumes extreme along the axes of the ellipse, where  $\theta = 0 - \frac{\pi}{2} + n - \frac{3\pi}{2} + \cdots$ .

#### 2 3 Velocity Sensitivity of the Whole System

To establish the velocity sensitivity of the whole system as a function of the different parameters involved it is necessary only to insert the results of the two preceding paragraphs, i.e. Eqs. (14) and (17) into Eq. (13). This yields

$$\frac{dR}{R_o} = -\frac{dU}{U_o} \left( 1 + \frac{\frac{1}{4} v \overline{U_s} \cos(2\theta) - v \overline{U_m}}{\sqrt{U_o}} \right) \qquad (18)$$

Defining the relative velocity sensitivity o by

$$\alpha + - \left(\frac{d\mathbf{R}}{d\mathbf{U}}\right) + \frac{\mathbf{U}_0}{\mathbf{R}_0} \tag{19}$$

It may be seen from Eq. (18) that  $\sigma$  depends on the two apparatus constants  $\sqrt{U_S}$  and  $\sqrt{U_m}$  and on the voltage of the beam  $U_0$ . Furthermore of will have different values along the periphery of the original circle, depending on the azimuthal angle  $\theta$ . Along the "slow axis" ( $\theta$  = 0)\* the relative velocity sensitivity  $\sigma$  becomes

$$\sigma_{Slow} = 1 + \frac{\sqrt{U_{\Delta}}}{\sqrt{U_{C}}} . \qquad (20)$$

Along the "fast axis" ( $\theta = 90^{\circ}$ ) the result is

$$\sigma_{\text{Fast}} = 1 - \frac{v\overline{U}\Sigma}{v\overline{U}c} \tag{21}$$

\* See Fig 3

where

$$\frac{\overline{U_{\Delta}}}{V_{\Delta}} = \sqrt{U_{S}/16} - \sqrt{U_{m}}$$

$$\sqrt{U_{Z}} = \sqrt{U_{S}/16} + \sqrt{U_{m}}.$$
(22)

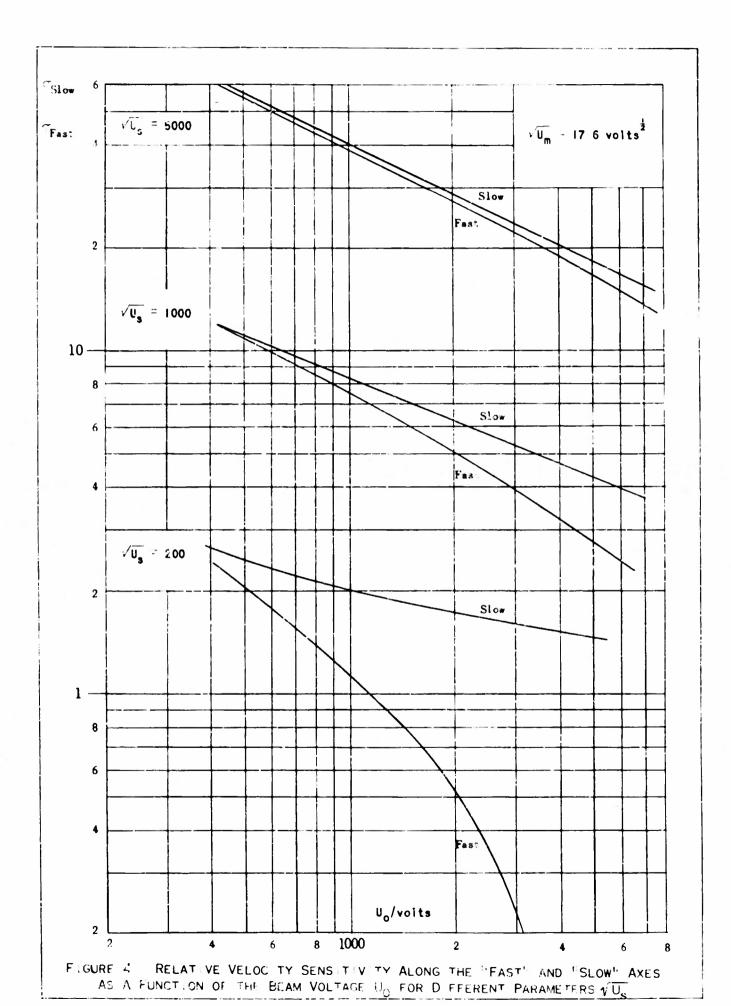
From Eqs. (20) and (21) it is obvious that the highest velocity sensitivity is expected along the "slow axis". Conversely, a smaller velocity sensitivity is expected along the "fast axis". This is under standable because in the "slow case" the two separate causes, (single pair double pair) add up whereas in the "fast case" they compensate each other.

In constructing a system, the relative velocity sensitivity desired must be considered. The selection  $U_m$  is somewhat inflexible since it has a limited range. However, an almost unlimited range is available with the selection of  $\sqrt{U_S}$  (i.e. a particular distance between the two wire pairs) in order to meet the sensitivity requirements

In Fig. 4 the values of  $\sigma_{Fast}$  and  $\sigma_{Slow}$  are given for different  $\sqrt{U_s}$  as a function of the beam voltage  $U_o$  whereby  $U_m$  was assumed to be 312 volts

In Fig. 5  $\sigma$  along the periphery of the circle is drawn for two different beam voltages ( $U_0=1000~volts$ ) ( $U_0=3000~volts$ ) and for fixed values of the two constants  $\sqrt{U_S}$  and  $\sqrt{U_m}$ . They were selected to be the values of the system with which the experimental studies were carried out

$$(\sqrt{U_s} = 185 \text{ 2 volts}^{\frac{1}{2}} - \sqrt{U_m} = 17 \text{ 6 volts}^{\frac{1}{2}})$$



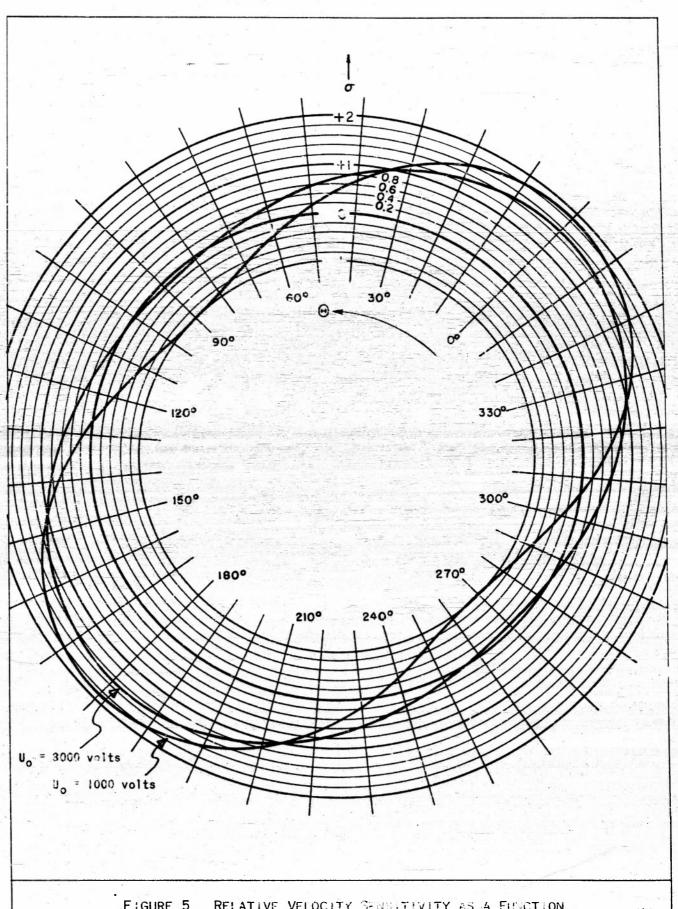


FIGURE 5 RELATIVE VELOCITY SENDITIVITY AS A FUNCTION OF THE AZIMUTHAL ANGLE  $\theta$  FOR TWO BEAM VOLTAGES AND FIXED VALUES  $\sqrt{U_S}$  = 185 2 VOLTS  $\frac{1}{2}$  ND  $\sqrt{U_0}$  = 17 8 VOLTS  $\frac{1}{2}$ 

### 3. EXPERIMENTAL DETERMINATION OF $\sqrt[4]{U_m}$ AND $\sqrt[4]{U_s}$

In the preceding section it was shown that the two constants

$$\sqrt{U_{\rm m}} = \frac{\pi}{4} \cdot 10^3 \cdot \frac{D}{\lambda} \cdot \sqrt{1 + \frac{2d}{D}}$$
 (2)

a nd

$$v\overline{U_s} = \pi \cdot 10^9 \frac{s}{2} \tag{9}$$

play a decisive role in the response of the double Lecher-system to velocity changes. To use the system as a calibrated velocity-analyzer it is therefore necessary to check the accuracy with which the two constants can be determined by studying the performance of the system mentioned previously. Comparing these results with a simple determination of  $\sqrt{U_m}$  and  $\sqrt{U_s}$  according to Eqs. (2) and (9) by mechanical measurement one has a measure for the accuracy of the operation of that system

A system which was constructed for a particular study reported elsewhere \* was available in this laboratory and had geometrical dimensions which seemed feasible for such an experiment. A 1-10 en largement of this system is given in Fig. 6 and a photographic reproduction in Fig. 7.

The dimensions in question are

d = 0 155 cm D = 0 129 cm s = 0 629 cm

and the free space wave length by which all of the following experiments were carried out is

$$\lambda = 10.51$$
 cm

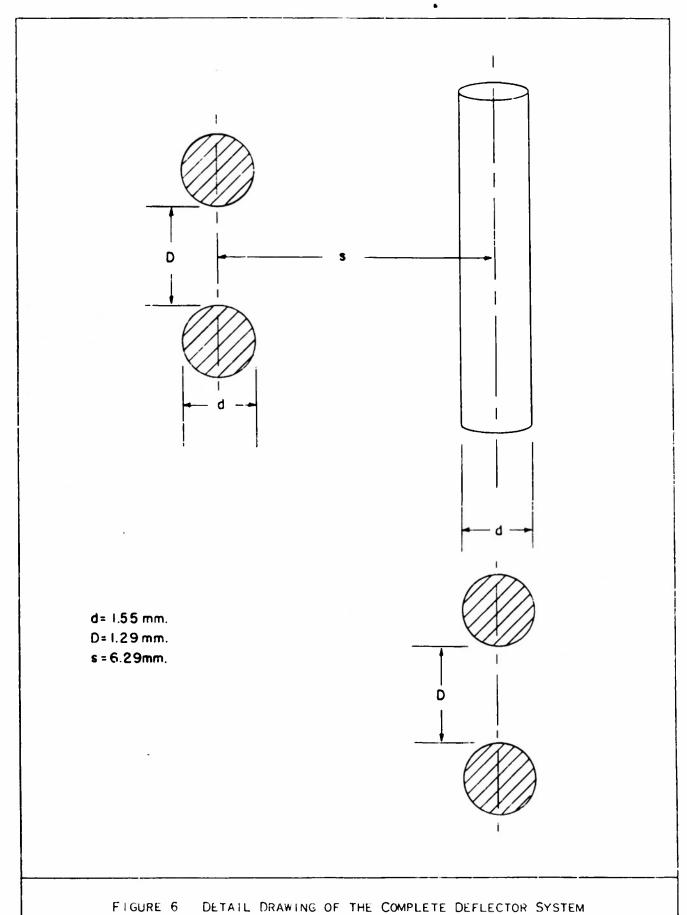
These values give the following theoretical data for  $\sqrt{U_m}$  and  $\sqrt{U_s}$ 

$$\sqrt{U_{\rm m}} = 17.6$$
 $\sqrt{U_{\rm s}} = 185.3$ . (23)

The following two paragraphs will discuss the possibility of experimentally determining the numerical value of these two constants

#### 3.1 Determination of $U_m$

The value  $U_m$  controls the behaviour of one single wire pair and can be determined by driving one pair at a time. The simplest way to find the numerical value of  $U_m$  would be to vary the beam voltage U until a maximum deflection is seen on the screen. This method would give only very approximate results since the maximum of the R(U) - function is "See Final Report on Millimeter Wave Research. Air Force Cambridge Research Center Contract No AF 19(122)-5. Chapter I



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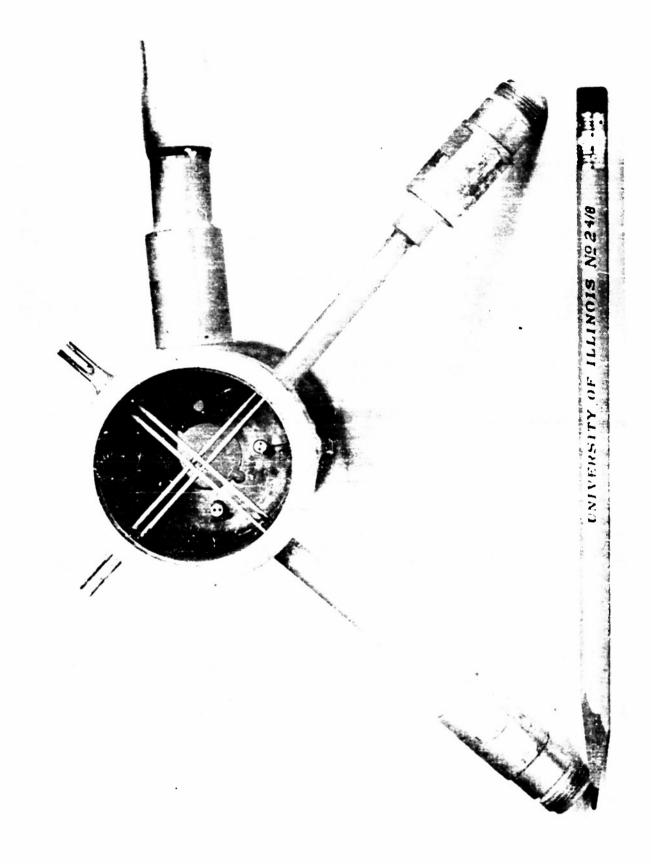


FIGURE 7 THE DEFLECTOR SYSTEM

very flat (see Fig 2) Furthermore at about 300 volt beam voltage, the electron beam would leave an almost unobservable trace on the screen. Thus another line of attack had to be designed. The method can be outlined as follows.

Take the logarithm of the square root of Eq (1)

$$\frac{1}{2} \ln R = \frac{1}{2} \ln \left(AL \sqrt{P_D}\right) + \ln \frac{1}{\sqrt{U}} \qquad \frac{\sqrt{U_m}}{\sqrt{U}}$$
 (24)

and differentiate with respect to  $1/\sqrt{U}$ 

$$\frac{\frac{1}{2} \operatorname{d} \ln R}{\operatorname{d} \left(\frac{1}{2}\right)} = \sqrt{U} - \sqrt{U_{m}}. \tag{25}$$

Plotting % d(ln R) / d (l/ $\sqrt{U}$ ) against  $\sqrt{U}$  one should obtain a straight line with the slope l cutting the abscissa exactly at the desired quantity  $\sqrt{U_m}$ . This method has the advantage of being very accurate in the vicinity of  $U_m$ . Furthermore the final determination of  $\sqrt{U_m}$  through Eq. (25) does not require the knowledge of the quantity AL  $\sqrt{P_D}$ 

The experimental procedure was as follows

One wire pair at a time was excited with a constant power. The deflection R was photographically registered on a screen for different beam voltages. A set of such photographs is reproduced in Fig. 8. The deflection R can now be taken from any enlargement since the absolute values of R do not appear in Eq. (25)

Plotting log R against  $1/\sqrt{U}$  a representation of Eq. (24) is obtained. Graphical differentiation of these curves gives the ordinates of Eq. (25). Finally, Fig. 9 gives a representation of Eq. (25) for the measured values in the vicinity of  $U_m$ . The unit of U was taken to be 100 volts and the factor 2 3 in the ordinate accounts for plotting log R on a  $\log_{10}$  paper. The measured values were evaluated according to Gauss method of least squares and the resulting line is plotted heavily on Fig. 9. It crosses the abscisse at  $\sqrt{U_m/100} = 1.84$ ; thus

$$(U_m)_{Exp} = 339 \pm 23 \text{ volts}$$

From Eqs (2) and (23) we obtain

$$(U_m)_{Th} = 317 \text{ volts}$$

the expected value of  $U_m$  computed from the geometrical data. The seviation of 7% lies well within the accuracy of the measurement. But more important than a good agreement of the quantity  $U_m$  is the consideration that the observed values follow a straight line with the slope 1 so well. This is further confirmation that the considerations from which Eq. (1) is derived seem to be sound

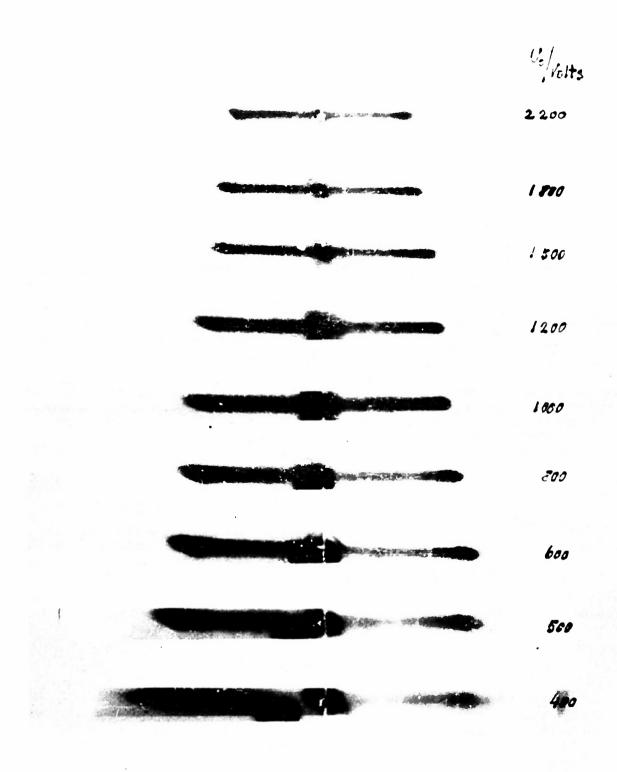


FIGURE 8 DEFLECTION OF THE Y-DEFLECTOR FOR DIFFERENT BEAM VOLTAGES  $U_{\hat{\mathbf{0}}}$ 

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#### 3.2 Determination of $\widehat{\mathbf{U}_{s}}$

The value  $\sqrt{U_S}$  controls the transit angle of electrons passing from one wire pair to the other. As was shown before  $\sqrt{U_S}$  is a decisive element in the equation defining the velocity sensitivity of the whole system. (See Eq. (18) and Fig. 4) An accurate determination of  $\sqrt{U_S}$  from an experimental point of view would therefore be desirable. The two equations

$$\delta = \frac{\sqrt{U_s}}{\sqrt{U_o}} = -\frac{\sqrt{U_s}}{\sqrt{U_o}} \tag{11}$$

$$\mathbf{a} = \mathbf{R} \sqrt{1 + \sin \delta}$$

$$\mathbf{b} = \mathbf{R} \sqrt{1 + \sin \delta}$$
(10)

suggest the possibility of determining  $\sqrt{U_{\rm S}}$  by observable data. With Eq. (11) one obtains

$$\sqrt{U_{S}} = \delta \frac{\sqrt{U_{1}U_{0}}}{\sqrt{U_{0}} - \sqrt{U_{1}}} .$$
(26)

Since  $\delta$  can be determined by measuring the major and minor axes of the obtained ellipses through Eq. (10) all quantities are measurable to define  $\sqrt{U_s}$ .

Unfortunately this method would require knowledge of R at different voltages and thus  $U_{\rm m}$  would enter into the final result. To avoid that a very elegant method could be suggested

In Eq. (10) let  $\delta$  become  $\pm \frac{\pi}{2}$ . Then, for  $\delta = \pm \frac{\pi}{2}$ , b degenerates to 0. In other words, the ellipses in the

two cases would degenerate to straight lines which are perpendicular to each other. Calling the two voltages of the beam  $U_1$  and  $U_2$  at which these lines occur  $\sqrt{U_S}$  is given to

$$\sqrt{U_s} = \pi \frac{\sqrt{U_1 U_2}}{\sqrt{U_1} - \sqrt{U_2}}$$
 (27)

since the total phase change  $\delta$  in the system was  $\pi$ 

This method has the advantage of being completely independent of the change in R since it is only necessary to observe when the ellipses degenerate to lines. This point is extremely sensitive in its adjust ment as can be seen by differentiating b in Eq. (10) with respect to  $\delta$ 

$$\frac{d\mathbf{b}}{d\delta} = \frac{-R \cos \delta}{2\sqrt{1-\sin \delta}} \tag{28}$$

for  $\delta = \frac{\pi}{2}$  (the 'hine condition') db/d $\delta$  becomes infi ity. Experimentally this expresses itself in a high instability the line. A slight change in voltage immediately flips the line into an ellipse with a well observable minor axis

Unfortunately this extremely accurate technique could not be applied because the insulation of the system limited the application of high voltage to about 2600 volts and the relired voltage change to produce a total phase change of  $\pi$  in the system was too high

A similar but less accurate method was therefore applied namely to produce a phase shift of only  $\pi/2$  which would cause a circle to degenerate into a line

Collecting a set of voltage pairs  $(U_s - U_o)$  which transform a circle into a line or vice versa  $+\overline{U_s}$  is given by

$$\sqrt{U_{s}} = \frac{\pi}{2} \frac{\sqrt{U_{s} U_{o}}}{\sqrt{U_{s}} - \sqrt{U_{o}}}.$$
 (29)

A set of 20 pairs of  $U_s$  .  $U_0$  were collected and the mean value of  $\sqrt{U_s}$  computed. The result is

$$(\sqrt{U_s})_{\text{Exp}}$$
 - 185 8 ± 4 6  $\text{volts}^{\frac{1}{2}}$ 

This value is in good agreement with the theoretical value computed according to Eq. (9) from the geometrical data

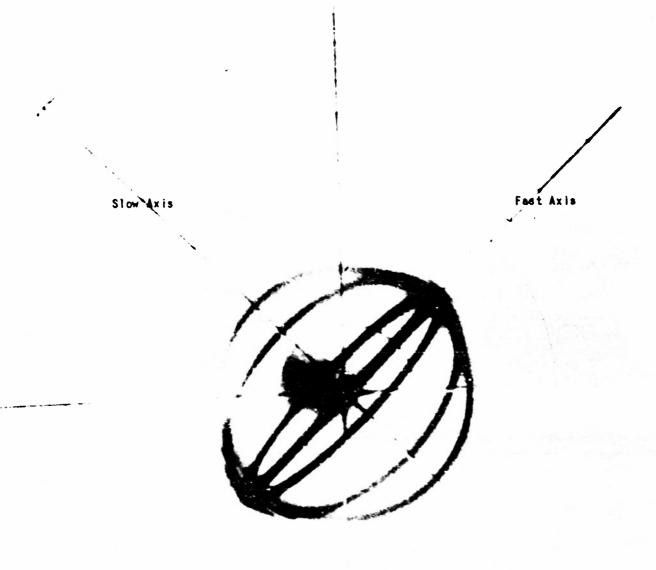
$$(\sqrt{U_s})_{Th}$$
 185 3

Figures 10 and 11 illustrate such a phase change of  $\pi/2$  with two intermediate stages. Note also the high velocity sensitivity along the 'slow axis' and the small sensitivity along the 'fast axis' as pointed out before. For technical reasons it was easier to swing the beam clockwise instead of counter clockwise. That makes  $\theta$  negative with respect to the orthodox definition and thus the axes are exchanged as shown in Figs. 10 and 11. Figure 10 especially markedly shows the almost complete compensation of the two different velocity responses of the single and double system along the fast axis.

#### 3 3 Check of the Influence of Both $\sqrt{U_m}$ and $\sqrt{U_S}$

To check the influence of both constants  $\sqrt{U_m}$  and  $\sqrt{U_s}$  on the performance of the beam, several elliptical cases were studied. One will be reported here. The beam voltage was chosen to be 2000 volts, a circle was adjusted and a picture taken. Then the beam voltage was changed to 1700 volts and to 2300 volts and a picture on the same negative was taken in each case. Now  $\delta$  was computed according to the exact Eq. (11) in both cases using the theoretical value of  $\sqrt{\frac{1}{3}} = 185.3$ . According to Eq. (11)

Fast' case 
$$5^{\circ} - 57.3 \left[ \frac{185.3}{\sqrt{2000}} - \frac{185.3}{\sqrt{2300}} \right] = 16^{\circ}.$$



## SUPERPOSITION OF FOUR MONOCHROMATIC ELECTRON BEAMS HAVING THE VELOCITIES

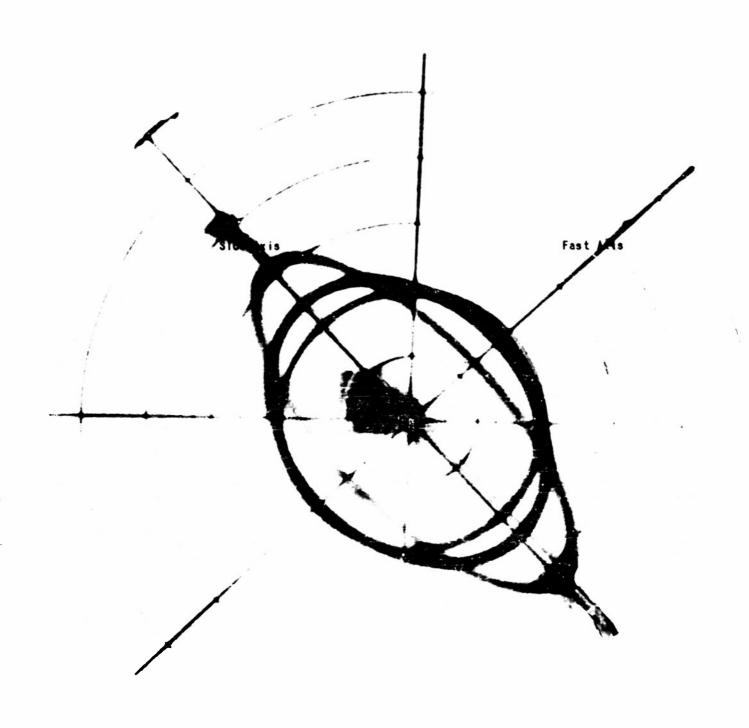
U<sub>O</sub> = 1250 VOLTS (CIRCLE)

U1 - 1500 VOLTS

FIGURE 10 U2 2000 VOLIS

U3 = 2400 VOLTS (LINE)

TOTAL PHASE CHANGE IN THE SYSTEM  $\pi/2$ 



### SUPERPOSITION OF FOUR MONOCHROMATIC ELECTRON BEAMS HAVING THE VELOCITIES

U<sub>0</sub> = 2550 VOLTS (CIRCLE)

U<sub>1</sub> = 2250 VOLTS

FIGURE 11 U2 = 1700 VOLTS

U3 = 1230 VOI.TS (LINE)

TOTAL PHASE CHANGE IN THE SYSTEM  $\pi/2$ 

"Slow" case

$$5^{\circ}_{S} = 57.3 \frac{185.3}{\sqrt{1700}} \frac{185.3}{\sqrt{2000}} = 20^{\circ}.$$

With these values of  $\delta_{\mathbf{S}}$  and  $\delta_{\mathbf{F}}$  the two quantities can be computed

$$(\frac{a}{b})_{Fast} = \frac{R_F}{R_F} \frac{\sqrt{1 + \sin b_F}}{\sqrt{1 + \sin b}} = 1.34$$

$$\begin{array}{ccc} (a) & R_S & \frac{\sqrt{1 + \sin \delta_S}}{\sqrt{1 - \sin \delta_S}} = 1.43 \ . \end{array}$$

Since  $R_{\mbox{\scriptsize S}}$  and  $R_{\mbox{\scriptsize F}}$  cancel in each case, the single pair sensitivity does not enter here

Measuring the developed and enlarged photograph, the following values were obtained

$$\frac{(a)}{b}$$
 Fast = 1 34 + 0 02

$$(\frac{a}{b})_{Slow} = 1 \ 46 \pm 0 \ 02$$

in good agreement with the theoretical value

The single pair sensitivity enters if the dimensions in the fast and the slow case are compared Computing  $R_F$  and  $R_S$  according to Eq. (14)

$$\frac{R_{S}}{R_{F}} = \frac{1 + \frac{\Delta U}{U_{o}} \cdot 1 - \frac{\sqrt{U_{m}}}{\sqrt{U_{o}}}}{1 - \frac{\Delta U}{\overline{U_{o}}} \cdot 1 - \frac{\sqrt{U_{m}}}{\overline{U_{o}}}}.$$
 (30)

With  $\Delta U \approx 300$  ,  $U_0 \approx 2000$  and the theoretical value of  $\sqrt{U_m}$  = 17 6 then, theoretically

$$(\frac{R_S}{R_F})_{Th}$$
 1 205

With this value and knowing  $\delta_S$  and  $\delta_F$  the two quantities can be computed

$$\left(\begin{array}{c} \frac{aS}{aF} \right) = \frac{h_0 \sqrt{1 + \sin \delta}}{\sqrt{1 + \sin \delta}} = 1.231$$

a nd

$$\langle \begin{array}{c} \frac{bS}{b_F} \rangle_{Th} = \begin{array}{ccc} \frac{R_S}{R_F} \frac{\sqrt{1-\sin\delta_S}}{\sqrt{1-\sin\delta_F}} - & 1.155 \end{array}.$$

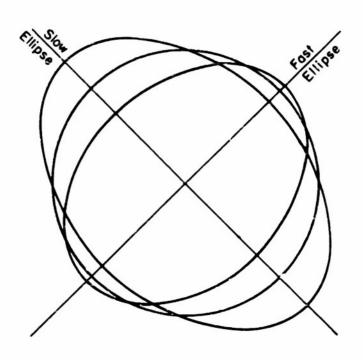


FIGURE 12a THEORETICAL PICTURE OF THE SUPERPOSITION OF THREE MONOCHROMATIC ELECTRON BEAMS HAVING THE VELOCITIES:  $U_1 = 1700 \text{ Volts}$ :  $U_0 = 2000 \text{ Volts}$  (CIRCLE):  $U_2 = 2300 \text{ Volts}$ 

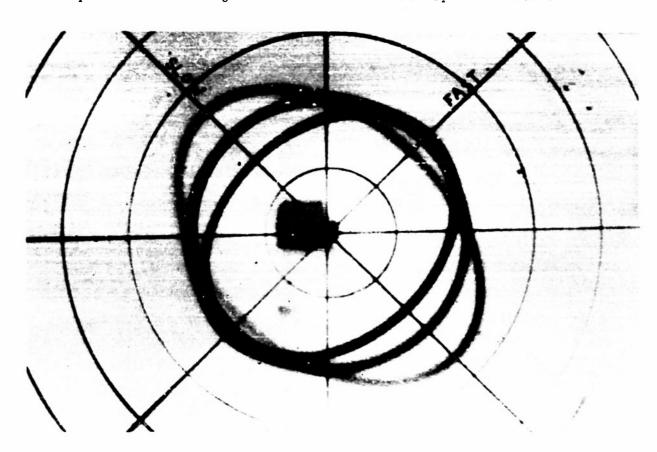


FIGURE 12b OBSERVED PICTURE OF THE SUPERPOSITION OF THREE MONOCHROMATIC ELECTRON BEAMS HAVING THE VELOCITIES:  $U_1 = 1700 \text{ Volts}$ :  $U_0 = 2000 \text{ Volts}$  (CIRCLE);  $U_2 = 2300 \text{ Volts}$ 

# PART II BEAM ANALYSIS OF A VELOCITY MODULATED ELECTRON BEAM

L R Bloom H.M. Von Foerster

#### INTRODUCTION

In Part I it was shown that a deflector system with the described properties would register a velocity change of electrons in an electron beam with an accurately predictable change in its radial deflection. As can be seen on the representations of different deflection patterns (Figs  $10.11\ 12$ ) a change in radial deflection of about 3% is measurable. Since for beam voltages of 1500 volts, the relative velocity sensitivity  $\sigma$  is at the maximum about 2 (see Eq. (18) and Fig. 5) an energy modulation of about 1.5% could just be observed

Fortunately, there was a velocity modulating device in the lab oratory theoretically completely described and experimentally studied and calibrated. Reference is made to the so called "Concentric Line Power Meter" reported in detail in Progress Report No. 13. Section 5, of this contract. This device was built especially to have a calibrated velocity modulated electron source at hand for cross checking devices with velocity sensitivity. Since this modulator produces a controlled energy modulation  $\rm U_{ac}/\rm U_{0}$  of about 10% with ease. the so produced velocity modulation should be clearly observable with the deflecting system in question

The experiments performed with this molulator will be reported in section 3 of this Part of the report. In the first two sections the results to be expected all be briefly discussed

#### 1 THE MODULATOR\*

The modulator essentially consists of a concentric line through which a thin hole is drilled radially. Through this hole an electron beam is injected which will be velocity modulated if power is transmitted through the line (See Fig. 13)

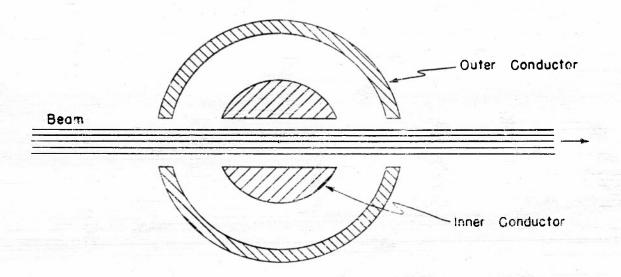


FIGURE 13 CONCENTRIC POWER METER SCHEMATICALLY

It can be shown that the electron beam injected at a voltage  $U_{\text{o}}$  will leave the system energy modulated

$$U = U_0 + U_{ac} \sin \varphi_0, \qquad (31)$$

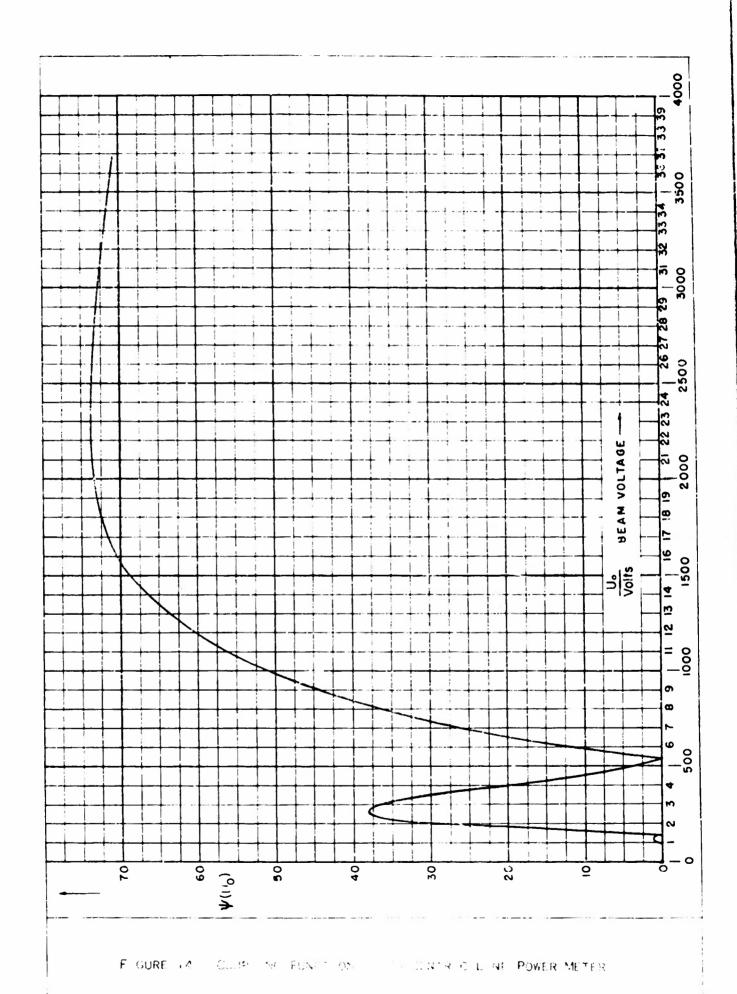
where the ac component is dependent upon the geometry of the concentric line and also upon the dc beam voltage  $U_{\text{O}}$  and the power  $P_{\text{m}}$  flowing through the line For a given system

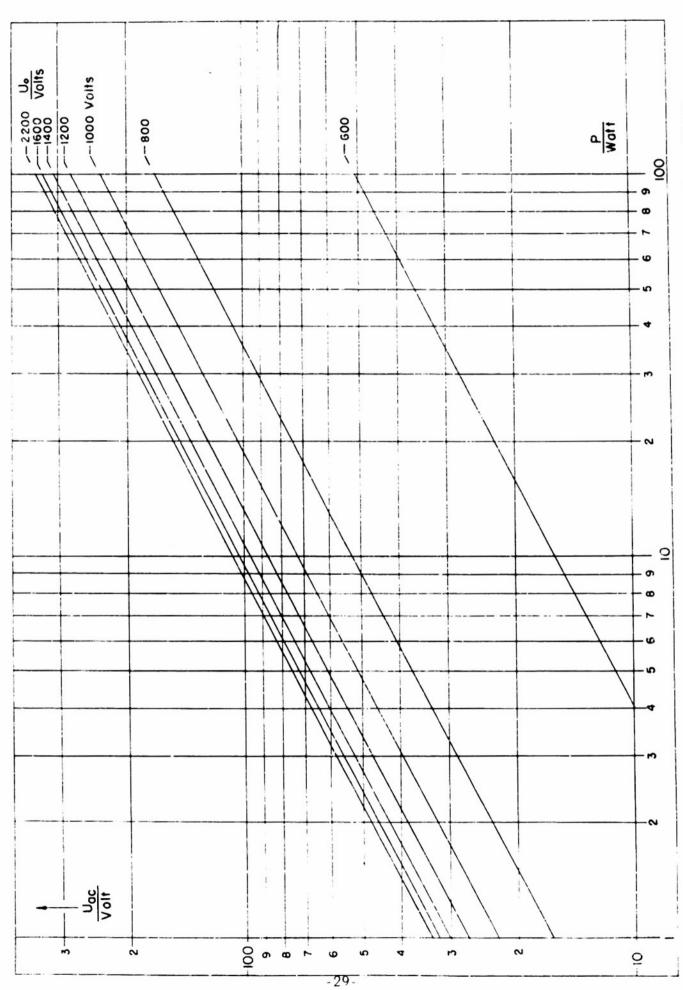
$$U_{ac} = \frac{1}{2} \sqrt{P_m} \psi (U_o)$$
 (32)

and the function  $\psi$  ( $U_0$ ) is the simultaneous solution of two transcendental equations. The  $\psi$ -function is experimentally well confirmed with a retarded field method and plotted in Fig. 14

Since the power flowing through the coaxial line can be measured easily with a terminating power meter—the beam voltage  $U_0$ —a be adjusted—all quantities in Eq. (32) are given and  $U_{ac}$  for any given condition can be determined—A plot of the expected values of  $U_{ac}$  as a function of P for different  $U_0$  is presented in Fig. 15

<sup>\*</sup>For a more extensive description see Progress Report No 13 N6 ori 71 Task XIX, Section 5





a-c COMPONENT OF THE ELECTRON BEAM AFTER BEING MODULATED BY THE CONCENTRIC POWER METER FIGURE 15

#### 2 FIRST ORDER BUNCH THEORY

In order to interpret the experimental results presented in the next section properly a brief review of the fundamental concepts of the velocity modulation processes will be given here \*

Suppose there are two devices a modulator and a deflector Both are considered as representable by a plane and shall be a distance of S centimeters apart (See Fig. 16)

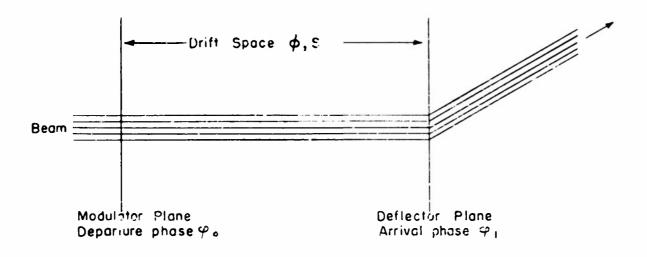


FIGURE 16 MODULATOR DEFLECTOR SCHEPATICALLY

The  $\phi$  half tor is supposed to inject an energy modulated electron beam into the drift region where electrons departing from the modulator plane at a phase angle  $\phi_0$  have an energy of

$$U = U_0 + U_{ac} \sin \varphi_0 \tag{31}$$

The first order expression for the velocity of electrons with departure phase  $\phi_0$  will be

$$\mathbf{v} = \mathbf{v}_{o} \left( 1 + \frac{1}{2} \frac{\mathbf{U}_{\underline{a}\underline{c}}}{\mathbf{U}_{o}} \sin \varphi_{o} \right). \tag{33}$$

Electrons departing at  $\phi_0$ , after traveling the distance S, will arrive at the deflector plane at an arrival phase  $\phi_1$  which is given by

$$\varphi_1 = \varphi_0 + \varphi \tag{34}$$

where

$$\phi = \frac{\sqrt{U_0}}{\sqrt{U}} \tag{35}$$

<sup>\*</sup>For a more extensive analysis see Spangenberg Vacuum Tubes. Beck A.H.N. Velocity Wodulated Thermionic Tubes

with

$$\sqrt{US} = \kappa - 10^{\circ} \frac{S}{\lambda}$$
 (36)

Using Eq. (31) to express U in Eq. (35) expanding the square root and inserting into Eq. (34), the following equation for the arrival phase  $\phi_1$  expressed in terms of the departure phase  $\phi_0$  is obtained

$$\varphi_1 = \varphi_0 + \varphi_0 - k \sin \varphi_0 \qquad (37)$$

where

$$\varphi_{0} = \frac{\sqrt{U_{S}}}{\sqrt{U_{0}}}$$
 (38)

and

$$k = \frac{1}{2} \frac{U_{ac}}{U_0} \phi_c . \tag{39}$$

The expression k usually denotes the "bunching parameter" Plotting arrival phase  $\phi_i$  against departure phase  $\phi_0$  for different bunching parameters k curves of the following form are obtained

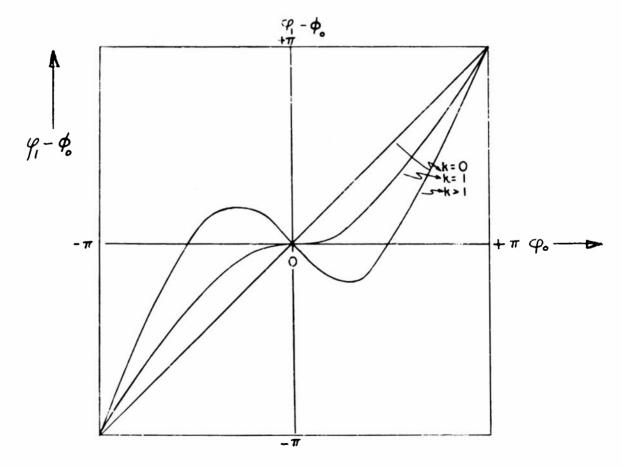


FIGURE 17 ARRIVAL PHASE AS A FUNCTION OF DEPARTURE PHASE FOR DIFFERENT BUNCHING PARAMETERS &

Application of the principle of conservation of charge for each corresponding departure and arrival phase element

$$|\rho_0| d\phi_0| = |\rho_1| d\phi_1$$
 (40)

defines the beam density at the deflection plane  $\rho_1$  relative to the unmodulated density  $\rho_0$  to

$$\frac{\rho_1}{\rho_0} - \left| \frac{d\phi_0}{d\phi_1} \right| = \left| \left( \frac{d\phi_1}{d\phi_0} \right)^{-1} \right| \tag{41}$$

Differentiating Eq (37) one gets

$$\frac{\rho_1}{\rho_0} = \left| \frac{1}{1 - k \cos \varphi_0} \right| . \tag{42}$$

A "bunch" is usually defined if  $\rho_1/\rho_0$  goes to infinity. This is the case if

$$\cos \left(\pm \varphi_0^{\bullet}\right) = \frac{1}{k} \tag{43}$$

Eq. (43) has solutions for  $k \ge 1$  only. Thus, a single bunch will occur at k = 1 and a double bunch for  $k \ge 1$ . These bunches will show up at the deflector plane at phases which are dictated through Eq. (37). Inserting from Eq. (43)

$$\varphi_0^* = \pm \arccos \frac{1}{k}$$

into Eq (37), one obtains

$$\varphi_1^* = \phi_0 \pm (\sqrt{k^2 - 1} - \arccos \frac{1}{k})$$
 (44)

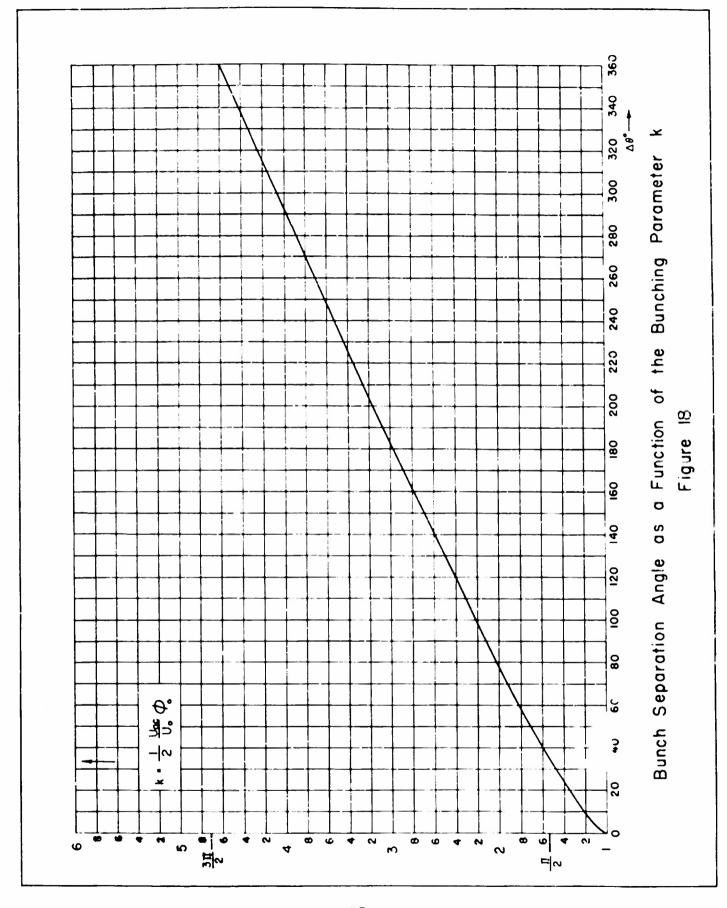
In the deflector plane the arrival phase  $\phi_1$  will be converted into a geometrical deflection angle  $\theta$ . Thus the two bunches expressed in Eq. (44) will appear on the screen as being separated by an angle  $\Delta\theta^{\bullet} = \phi_{11}^{\bullet} - \phi_{12}^{\bullet}$ . This bunch separation angle becomes

$$\Delta\theta^{\bullet} = 2(\sqrt{k^2 - 1} - \arccos\frac{1}{k})$$
 (45)

and is plotted in Fig. 18. With increasing k, the separation angle will increase until  $\Delta\theta$  becomes  $n \geq 2\pi$  ( $n = 0 - 1, 2, 3, \ldots$ ). At those points the two bunches will reunite and again form a single bunch. Solutions for k for the "single bunch condition" are

$$K = 1.00$$
,  $4.60$ ;  $7.75$ ,  $11.00$ ,  $14.12$ ,  $17.30$ ,  $\pi (n+\frac{1}{2})$   
 $n \stackrel{\geq}{=} 6$  (46)

A graphical representation of the bunching process can be given, by using the identity suggested in Eq. (41). Since the first differential



quotient is the tangent of the slope of a curve at the point in question one can get from Eq. (41)

$$\frac{\rho_{\lambda}}{\rho_{0}} = \left| \frac{d\phi_{0}}{d\phi_{1}} \right| = \frac{1}{\left| \frac{d\phi_{0}}{d\phi_{0}} \right|} = \frac{1}{\left| \tan \alpha \right|} = \left| \cot \alpha \right| - \left| \tan (90 - \alpha) \right| = \left| \tan (\theta) \right|$$

where  $\alpha$  is the slope of the functions connecting  $\phi$ , with  $\phi_0$  and shown in Fig. 17. In other words, Fig. 17 has only to be turned around  $90^\circ$  to obtain a representation of  $\phi_0$  as a function of  $\phi_1$  where the tangent of the slope  $\beta$  of those curves now directly express the density as a function of the phase angle at the deflector. This is drawn schematically in Fig. 19

In the diagram in the left upper corner the ac velocity distribution at the modulator plane is plotted. It produces for  $k \ge l$  a  $\phi_0\left(\phi_1\right)$  diagram, presented in the upper right corner. (Compare with Fig. 17). To obtain the density along the  $\phi_1$  axis the three branches of this curve are separately differentiated and the result is shown in the middle section. The occurance of the bunches and the significance of the bunch separation angle become evident. In the lowest diagram the velocity distribution for the deflector plane is drawn

The construction of this diagram can be carried out by projecting the velocity distribution at the modulator over the  $\phi_0\left(\phi_1\right)$  diagram along the  $\phi_1$  axis. In other words the velocity of all those electrons which started at different phases  $\phi_{01}$  but arrived simultaneously at the deflector a\*  $\phi_1$  are plotted over each arrival angle  $\phi_1$ . Knowing their departure angle  $\phi_{01}$  their velocity is found by tracing back to the velocity distribution curve at the departure plane

It should be noted that for the region between two bunches, electrons with three distinctive velocities occur. Outside of the bunches each phase element will contain only electrons with the same velocity

To compute the ac-velocity distribution at the deflector, the departure phase  $\phi_0$  must be expressed in terms of the instantaneous ac-velocity component. Calling the instantaneous ac-energy  $u_{ac}$  Eq. (31) yields

$$u_{ac} = U_{ac} \sin \varphi_0$$
 (47)

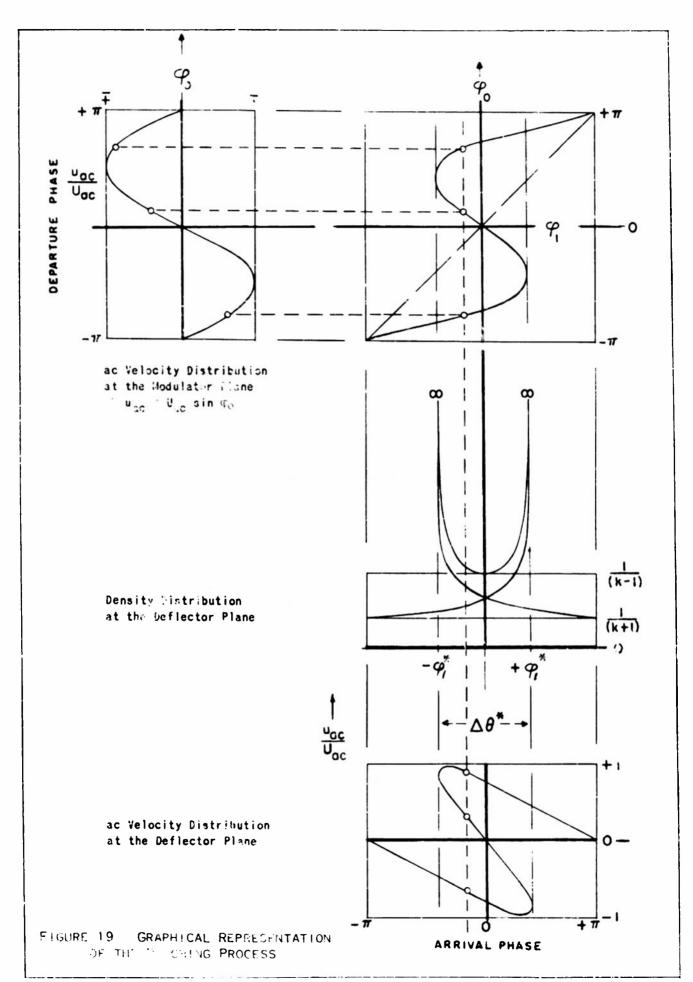
Solving for  $\phi_0$  and inserting into Eq. (37) the desired function is found

$$\Phi_1 - \Phi_0 = \arcsin \mu - k\mu \tag{48}$$

where

$$\mu = \frac{u_{ac}}{U_{ac}} .$$
(49)

The velocity distribution at the deflector plane is computed for two different values of k, (k-1) and k-1 37. Since, at the deflector plane the phase angle  $\phi_1$  is immediately converted into a geometrical



deflection angle  $\theta_{\rm c}$   $\mu$  can be plotted in the radial direction along the azimuth  $\theta_{\rm c}$  (See Figs. 20 and 21). This representation corresponds closely to what one would expect from the response of the deflector system, since electrons with different energies will be projected onto the observation plane at different radii

Finally it may be noted that it is the shown with Eqs. (37), (42) and (48) that where a velocity inflection occurs, there is also the

locus of a bunch

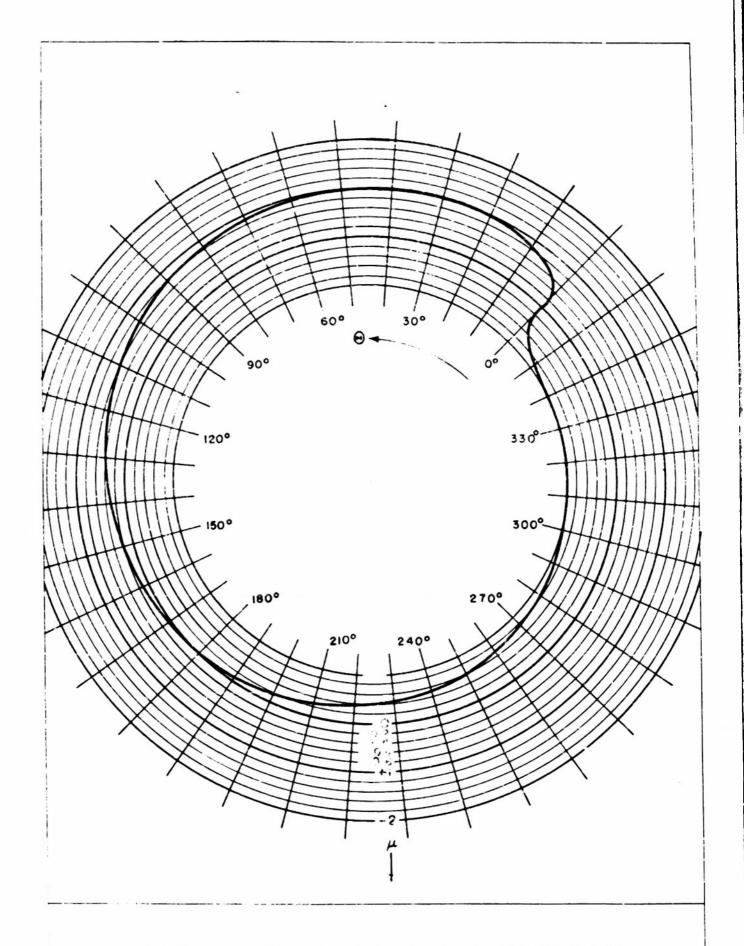


FIGURE 20 VELOCITY DISTRIBUTION ALONG THE FIRST AT \$1 TO

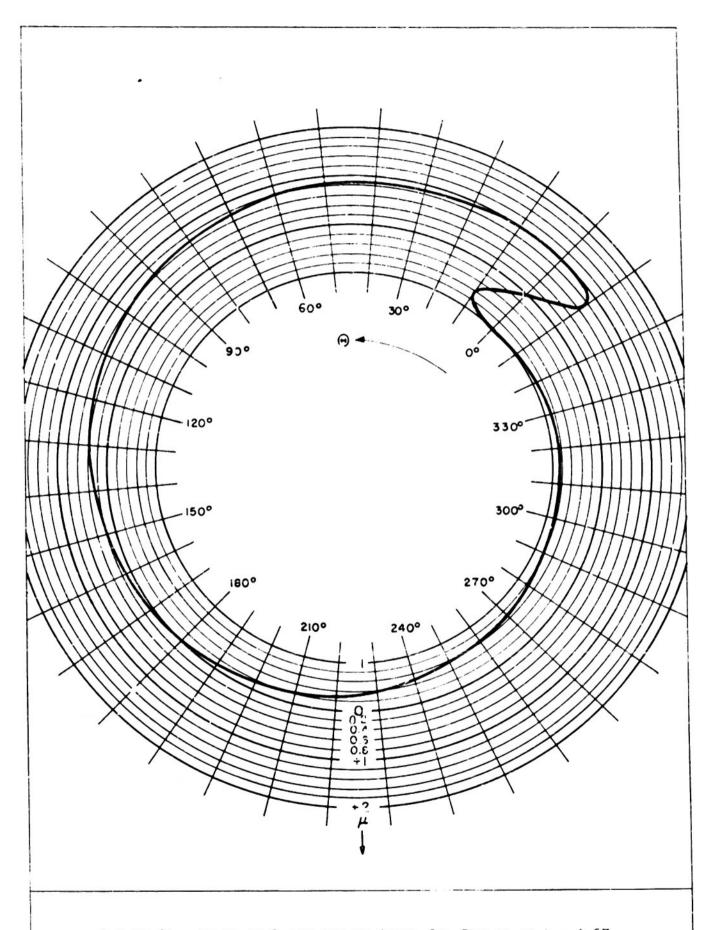


Figure 21 - Velocity D stribution Along One Per 30 at k=1.37

### 3 OBSERVATION OF THE BUNCHING PROCESS

Among the experiments carried out to study the velocity modulation process the direct observation of the formation of the first bunch and its partition into double bunches by increasing the bunching parameter k was considered to be the most interesting

The experimental setup is described in detail in Part III Schematically the arrangement is drawn in Fig ... All systems are reduced to planes

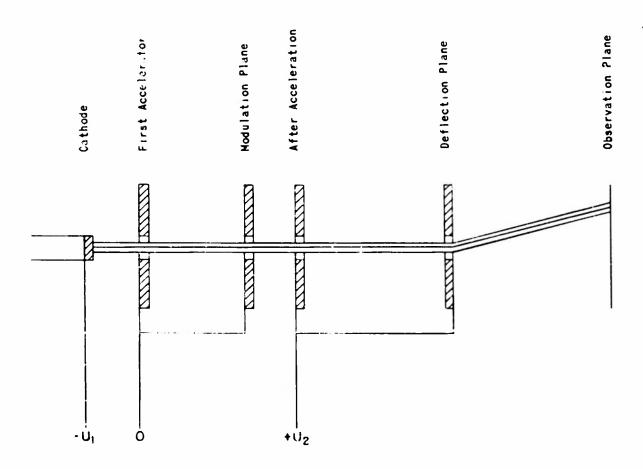


FIGURE 22 EXPERIMENTAL SETUP SCHEMATICALLY

After the coaxial modulator a post accelerating system was introduced to serve two purposes—first—to obtain a good focus on the screen independent of the focusing properties of the first accelerator—which is usually focused on the modulator plane—and—second—to obtain a wide variability of the drift region between modulator and deflector by varying  $U_{-}$  without changing the injector voltage  $U_{0}$ —One—thus avoids dependence on the variation of the coupling function  $\psi(U_{0})$ —which in turn determines the ac energy component of the beam—(See Eq. (32) and Fig. 14.)

Applying the law of the preservation of energy at the velocity jump after the modulator\*

$$U = U_0 + U_1 + U_{ac} \sin \varphi_0 \tag{50}$$

one obtains with Eqs. (32), (36), (38) and (39) the bunching parameter k expressed in quantities which can be controlled from outside alone.

$$k = \frac{5}{4} = 10^{3} \psi(U_{\perp}) \sqrt{P_{m}} \frac{S}{\lambda} (U_{\perp} - U_{\nu})^{-3/2}$$
 (51)

 $\lambda$  was given by the oscillator to be used and S -the distance between modulator and deflector was chosen to be 17 cm . With

k can be expressed in electrically adjustable quantities

$$k = 1.285 \cdot 10^{\circ} \psi(U_{\star}) / P_{m}^{*} = (U_{\star} + U_{\star})^{-3/2}$$
 (52)

In other words, k can be varied during an experiment by varying the injector voltage  $U_1$  or the post acceleration voltage  $U_2$  or the power  $P_m$  delivered into the modulator

Since the bunching process should be kept on the most velocity sensitive point namely on the "slow arks" but since a variation of the voltages would necessarily cause a variation of the phase relations within the system it was therefore decided to vary only the power  $P_{m}$  keeping  $U_{\perp}$  and  $U_{2}$  constant. Their values were

$$U_{\gamma} = 1920 \text{ volts}$$
  $U_{\alpha} = 1560 \text{ volts}$ 

Thus a one to one correlation between k and  $P_m$  is established Through Eq. (51) and Fig. 14 one obtains

$$k = 0.460 \sqrt{P_m} \tag{53}$$

Seven values of  $\boldsymbol{P}_{\boldsymbol{m}}$  were chosen and determined the following values of k

<sup>\*</sup>See Appendix II

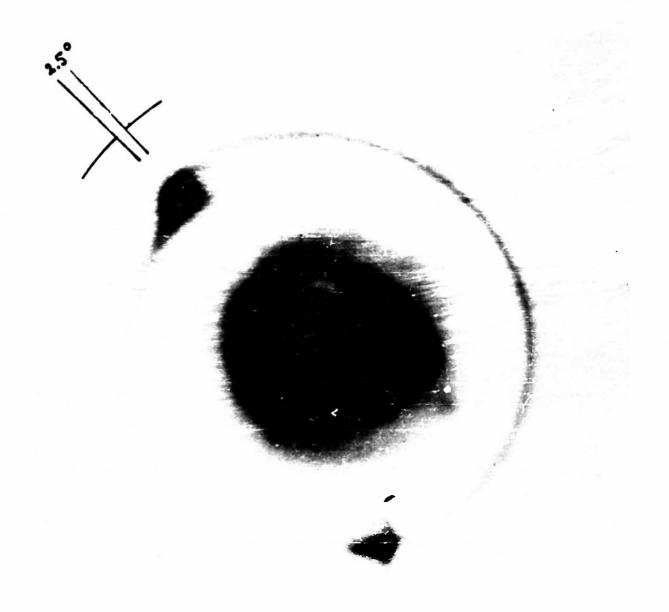


FIGURE 23.1 
$$K = 1.08$$
  $(\Delta\theta^{\bullet})_{P} = 2.5^{\circ}$   $(U_{ac})_{P} = 85 \text{ VOLTS}$   $(\Delta\theta^{\bullet})_{OBS} = 8^{\circ}$   $(U_{ac})_{\Delta R} = 110 \text{ VOLTS}$ 

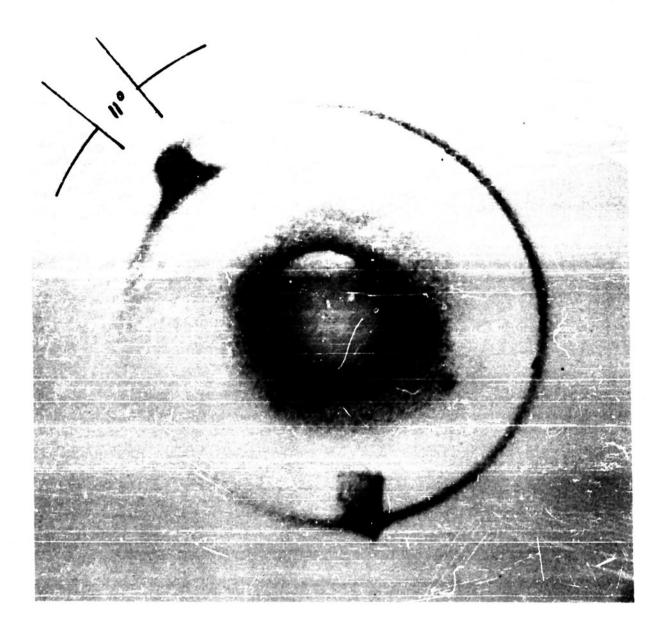


FIGURE 23.2 
$$K = 1.22$$
  $(\Delta\theta^{\bullet})_{P} = 11^{\circ}$   $(U_{ac})_{P} = 96 \text{ VOLTS}$   $(\Delta\theta^{\bullet})_{OBS} = 10^{\circ}$   $(\underline{U}_{ac})_{\Delta R} = 117 \text{ VOLTS}$ 

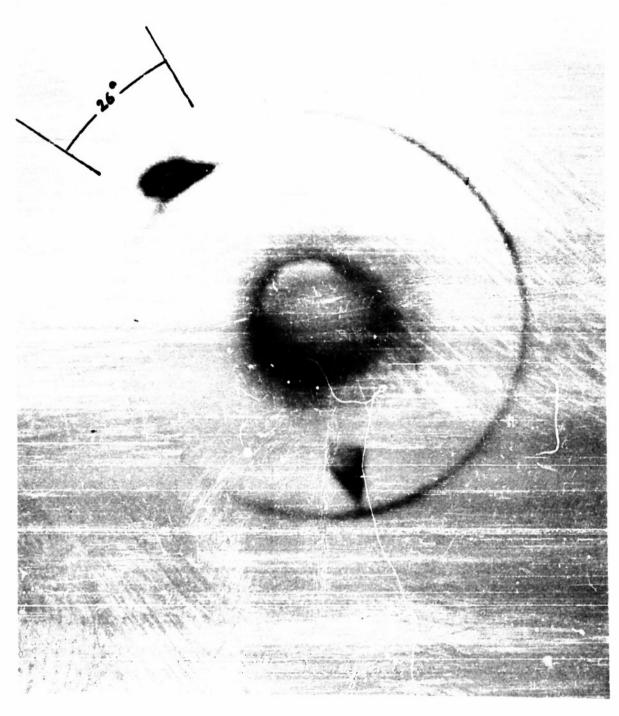


FIGURE 23.3 K = 1.42  $(\Delta\theta^{\bullet})_{p} = 26^{\circ}$   $(U_{ac})_{p} = 112 \text{ VOLTS}$   $(\Delta\theta^{\bullet})_{OBS} = 25^{\circ}$   $(\underline{U}_{ac})_{\Delta R} = 130 \text{ VOLTS}$ 

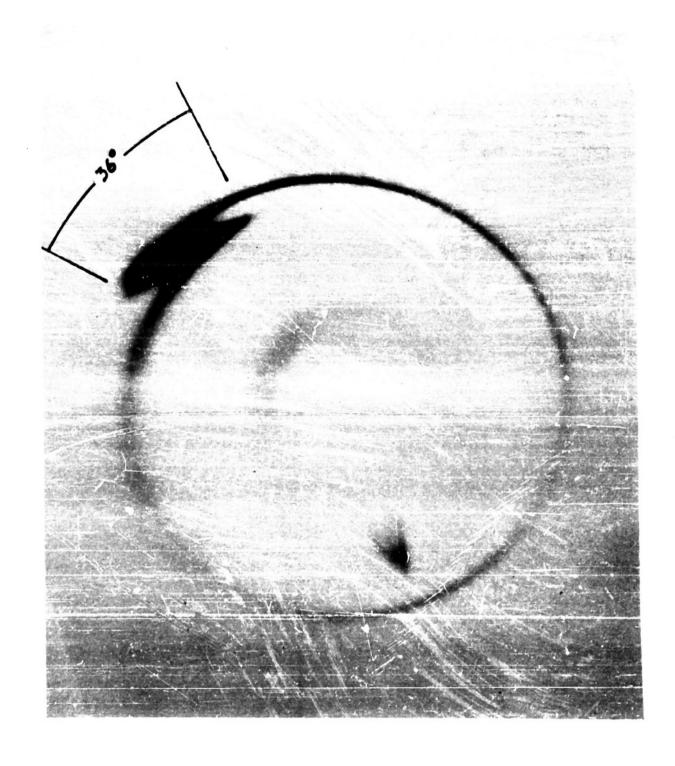


FIGURE 23.4 
$$K = 1.54$$
  $(\Delta\theta^{\bullet})_{P} = 36^{\circ}$   $(U_{ac})_{P} = 123 \text{ VOLTS}$   $(\Delta\theta^{\bullet})_{OBS} = 40^{\circ}$   $(\underline{U}_{ac})_{\Delta R} = 135 \text{ VOLTS}$ 

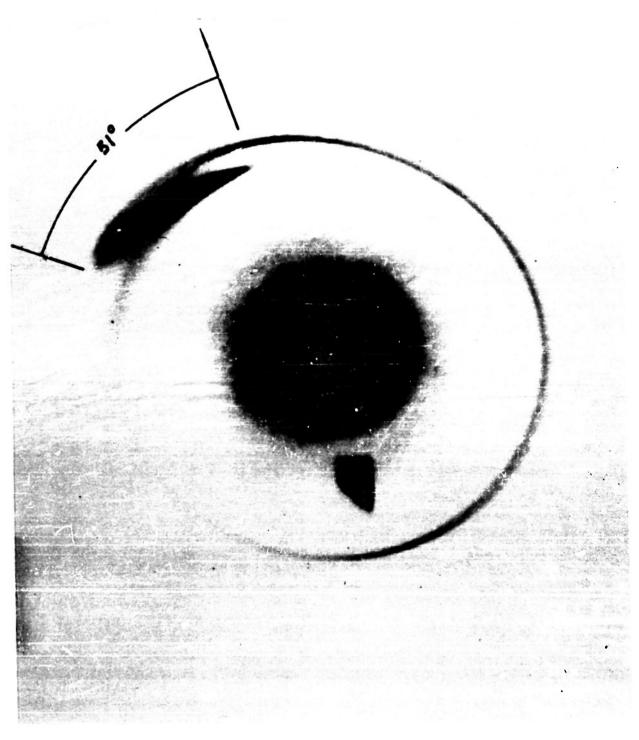


FIGURE 23.5 K = 1.72  $(\Delta\theta^{\bullet})_{P} = 51^{\circ}$   $(U_{ac})_{P} = 135 \text{ VOLTS}$   $(\Delta\theta^{\bullet})_{OBS} = 52^{\circ}$   $(\underline{U}_{ac})_{\Delta R} = 142 \text{ VOLTS}$ 

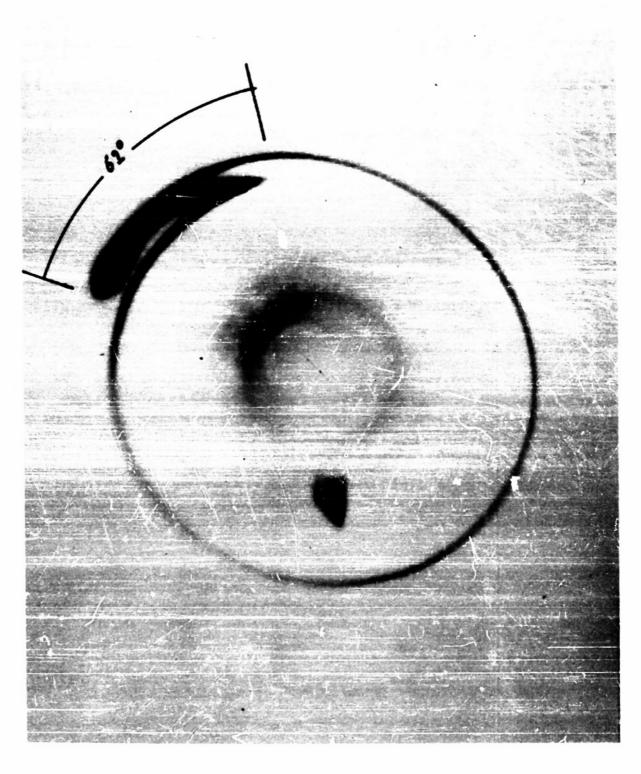


FIGURE 23.6  $\kappa = 1.83$   $(\Delta\theta^{\bullet})_{P} = 62^{\circ}$   $(U_{ac})_{P} = 143 \text{ VOLTS}$   $(\Delta\theta^{\bullet})_{CDS} = 57^{\circ}$   $(\underline{U}_{ac})_{\Delta R} = 156 \text{ VOLTS}$ 

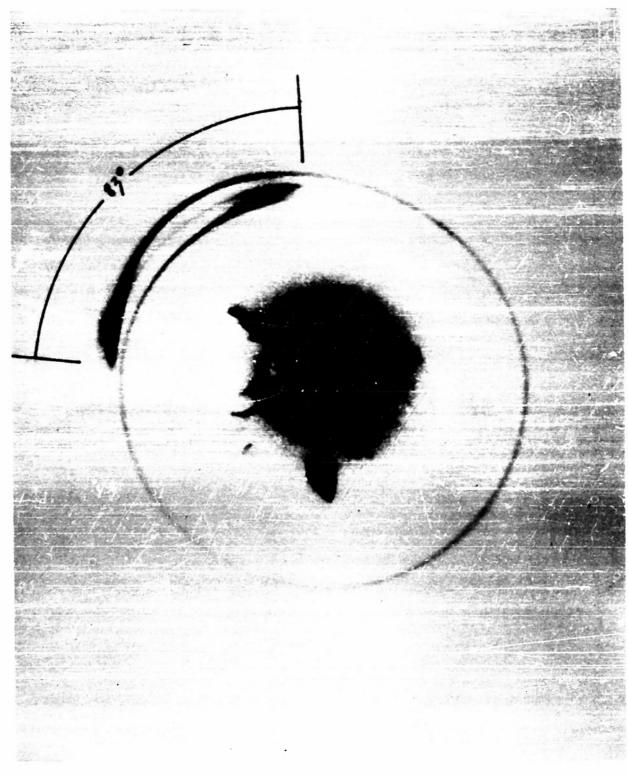


FIGURE 23.7 
$$K = 2.06$$
  $(\Delta\theta^{\bullet})_{P} = 83^{\circ}$   $(U_{ac})_{P} = 162 \text{ VOLIS}$   $(\Lambda\theta^{\bullet})_{OBS} = 83^{\circ}$   $(\Pi_{ac})_{\Delta R} = 180 \text{ VOLTS}$ 

For each value of k, a picture was taken and is presented in Figs. 23.1 to 23.7

In Fig. 23 1 the occurance of the first bunch\* which should occur at k 1 is clearly seen. The resemblance with the computed  $\mu$  presentation for k 1 in Fig. 20 is easily noted. Again the beam swings clockwise thus Fig. 23 1 is a reflection on the y-axis of Fig. 20 \*\*

Increasing the bunching parameter k—the bunch begins to divide itself and the double bunch is clearly presented in Fig. 23 4 for k-1 54. Note also the three distinctive velocity groups in the region between the two bunches. The bunch separation angle  $\Delta\theta^{\bullet}$  conside computed for a given k through Eq. (45) or with Fig. 18. This is done in Table I and compared with the observed values of  $\Delta\theta^{\bullet}$ . The indices "P" and "obs" indicate by which method these values were obtained. The agreement between these values is surprisingly good except for the first value, where the bunch should still be a tight spot with a width of only 2.5°. This may have several causes which could be properly determined only after a more extensive study of the region around the formation of the first bunch.

But the general good agreement of the set of values suggests that the determination of the velocity of the electrons at the modulator was quite accurate. Otherwise they would occur at the deflector plane after traveling over the long drift region of about 17 cycles at quite different phases. Thus, the double check holds. A triple check can be performed by utilizing the velocity sensitivity in the radial direction according to the considerations presented in Part I

Since all the measurements were made with the bunch at the "slow axis" to obtain maximum velocity sensitivity in the radial direction Eqs. (19) and (20) are applicable. Using these equations  $U_{\rm ac}$  is found to be

$$U_{ac} = \frac{\Delta R}{R} = \frac{U_1 + U_2}{\sigma_{Sic}}$$
 (54)

and inserting all known numerical values

$$U_{ac} = 2560 - \frac{\Delta R}{R} \text{ volts}$$
 (55)

On the other hand  $U_{ac}$  can be determined by Eq. (32), or, if more trust is placed in the precision of the bunching process over the observed  $\Delta\theta^*$  by using Eqs. (45) and (39). The determination of  $\Delta R$  had to take the finite beam width into consideration. Two values of  $\Delta R$  were taken the one determined by the open gap between the overlapping beam traces, the other by measuring the distance between the center lines of the traces. Thus, a minimum and maximum value of  $U_{ac}$  was defined with Eq. (55). Table II compares the three values

<sup>\*</sup> The bunch should not be mistaken for the dark spot at the bottom of the circle. This is a light marker to indicate the actual circle radius. During the experiment the power was increased by increasing the power output of the oscillator. Since the deflector was fed with a constant fraction of the power delivered to the modulator each setting resulted in a larger deflection thus in an increased circle radius. The light marker approaches the center with increasing k, because the enlargements were made for constant increasing k.

<sup>\*\*</sup> Figures 20 and 21 do not take into account \* particular velocity sensitivity in the R direction  $\mu$  is plotted radially in arbitrary units. With respect to the radial direction therefore observed

TABLE I COMPARISON OF THE BUNCH SEPARATION ANGLE  $(\Delta\theta^{\bullet})_p$  COMPUTED THROUGH EQ. (45) WITH THE OBSERVED BUNCH SEPARATION ANGLE  $(\Delta\theta^{\bullet})_{OBS}$  AS MEASURED ON FIGS. 23.1-23.7

Fig	No	(k)	p (	$\Delta\theta^{\bullet})_{p}$ (	Δθ <sup>•</sup> ) <sub>obs</sub>
23	1	1 0	8	2 5°	8 °
23	2	1 2	22	11°	10°
23	3	1 4	2	26°	24°
23	4	1 5	54	36°	40°
23	. 5	1.7	1	51°	52°
23	6	1 8	33	62°	57 °
23	7	2 0	)6	83 °	83°

TABLE 11 COMPARISON OF THE 2c-ENERGY COMPONENT  $U_{ac}$  COMPUTED THROUGH EQ. (32) WITH THE OBSERVED ac ENERGY COMPONENT AS MEASURED ON FIG. 23.1 23.7 FROM THE RADIAL SPREAD  $\Delta R$ 

Fig.	No	P	(U <sub>ac</sub> ) <sub>p</sub>	$(\underline{U}_{ac})_{\Delta R}$	$(\hat{\mathbf{U}}_{\mathbf{ac}})_{\Delta\mathbf{R}}$
23	. 1	5 5	85	110	180
23	2	7,.1	97	117	170
23	3	9 2	112	1 30	165
23	4	11.2	123	135	160
23	5	13 8	135	142	175
23	6	15.6	143	156	172
23	7	20.0	162	180	180

The agreement is surprisingly bad. This is mainly due to the fact that close to the bunch the determination of the beam width becomes somewhat unprecise. One could now argorithat the method of using the radial sensitivity of the deflector to give accurate results has failed since the determination of  $U_{ac}$  with the very sensitive phase method, using  $\Delta\theta^{\bullet}$  confirmed the expected values of  $U_{ac}$ 

On the other hand if one is willing to take the  $(U_{ac})_{\Delta R}$  values as real it could be argued that in the phase method the velocity is properly measured only between the modulator and shortly before the deflector. But the  $\Delta R$  are not resourced and shortly before the deflector but the  $\Delta R$  are not resourced as of the velocities and they can at the moment of the formation of the bunch assume values which may be of the order of the lunching energy  $U_{ac}$ . Indeed, the  $(U_{ac})_{\Delta R}$  value approaches its expected value  $(U_{ac})_p$  if the bunches have moved a considerable instance away. Figure 24 illustrates this fact where both values of  $(U_{ac})_{\Delta R}$  are plotted against  $(U_{ac})_p$ . The striated region fills out the uncertainty in determining  $U_{ac}$ . The straight line represents the expected value of  $U_{ac}$  without any interaction of the electrons in the bunch

It is not absurd perhaps to think that an interaction between the bunch and the beam electrons takes place when the bunches are ploughing their way through the beam with a phase relocity slightly higher and slower than the average electron velocity

A hint that space charge effects may account for this discrepancy, is given by closer inspection of the photographs. In Figs. 23.5, 23.6 and 23.7 an interesting process can be observed namely, the impover-ishment of the electron density in the region between the bunches with processive partition of the two bunches. Theoretically, this region should be much denser, or at least comparable with the density along the trace which connects the two bunches the long way around. In this connection compare Fig. 19, the density diagram, with Fig. 23.7. One gets the impression that one bunch pushing forward and the other back ward almost sweep the middle section clear of electrons.

Only a much more detailed study can finally clear up the different problems remaining at this point. However, a clear indication of the feasibility of the method was obtained in the very first stages of the experimental work. Since there are no fundamental problems in the construction of systems with much higher radial velocity sensitivities it is hoped that further investigations of this possibility may be made

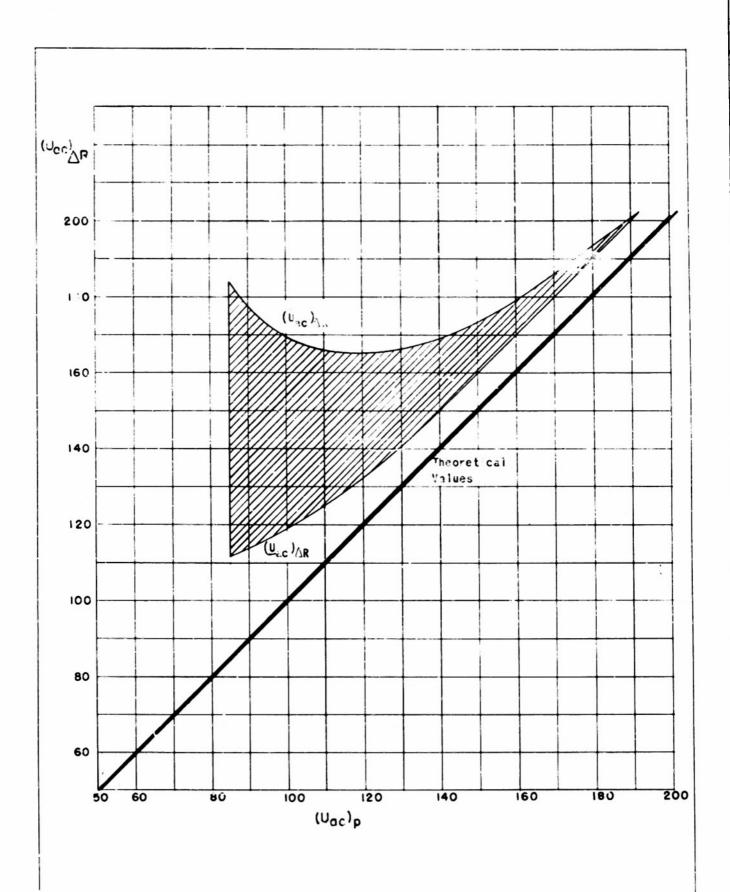


FIGURE 24 ac ENERGY COMPONENT MEASURED ACCORDING TO THE  $\Delta R$  METHOD COMPARED WITH THE ac ENERGY COMPONENT AS COMPUTED ACCORDING TO THE POWER METER READINGS

## PART III THE EXPERIMENTAL SETUP

L.R. Bloom

### 1 DESCRIPTION OF THE APPARATUS

The general arrangement of the components making up the beam analyzer is given in schematic diagrams. Figs 25 and 26, and in the photographs of the assembly. Figs 27 and 28. The analyzer essentially consists of three parts.

- 1 The electron beam source under consideration
- 2 The r f circular deflection system made up of the crossed Lecher wire system and
- The viewing plane, (a cathode ray screen), on which the elements of the beam which have been scattered according to phase and velocity may be studied

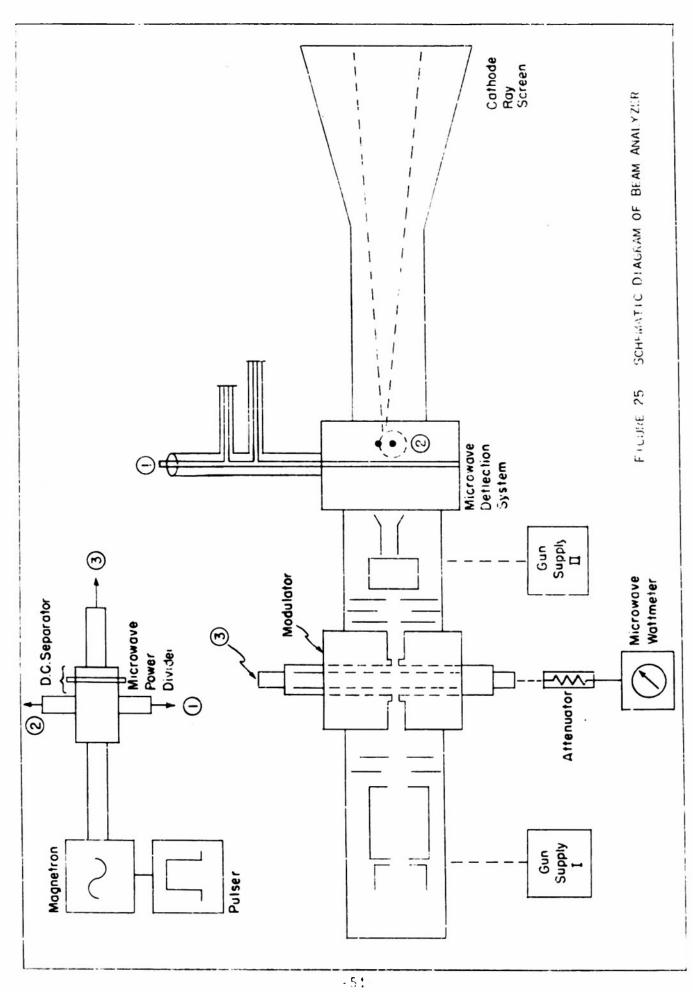
The analysis of the deflection system has been presented in detail in Part I Section 1 of this report. The constructional details are observable in the drawing and photograph. Figs. 6 and 7. The spacing between Lecher wires, diameters, and other constructional data are given in Fig. 6. One additional dimension which should be mentioned is the actual length of the Lecher wire line inside the shorting cylinder. The length chosen was L  $\frac{3}{2}$  or 5 cms. This provides for a maximum voltage

to appear between Lecher wires midway between the ends and therefore a maximum deflection of the beam when the Lecher wire line is tuned to resonance

The velocity modulator the operation of which is presented in Part II Section 2 is shown in the drawing Fig 29 and in the photograph Fig 30. A second lens system was mounted immediately beyond the output gap of the velocity modulator to permit post acceleration of the beam. In addition a dc parallel plate deflection system was provided to insure that the beam would pass between the wires of the Lecher wire deflection system. The total drift length between the output of the velocity modulator and the plane of the first pair of deflection wires was L = 17 cm. This length was chosen to insure the forming of the first bunch of the beam in the neighborhood of the Lecher wire lines for moderate beam voltages and velocity modulation power.

In order to make sure that the modulation frequency and the deflection frequency are the same and to permit phasing of the one system with respect to another it is necessary that both the deflection system and the modulator we driven from a common source \*

<sup>\*</sup> Although a common oscillator is necessary as indicated it is very desirable to have the amplitude and phase of the r-f signal to each of the component elements independently variable. This is discussed further toward the end of this Part



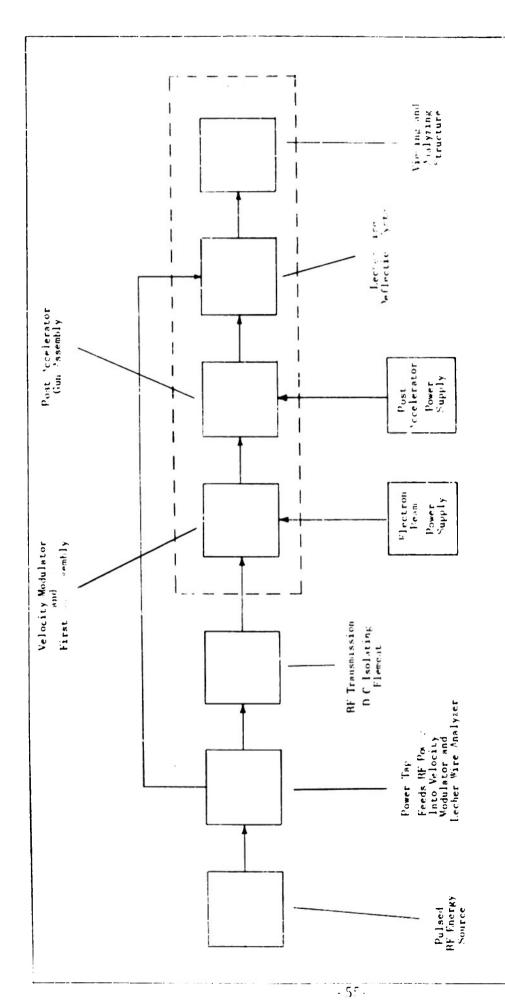


FIGURE 26 BLOCK DIAGRAM OF EXPERIMENTAL SETUP

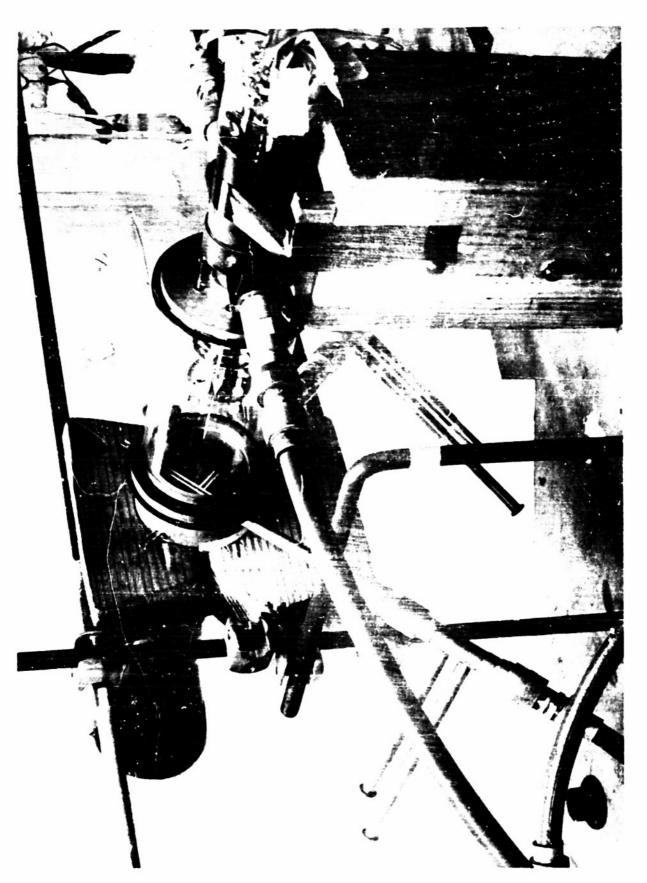
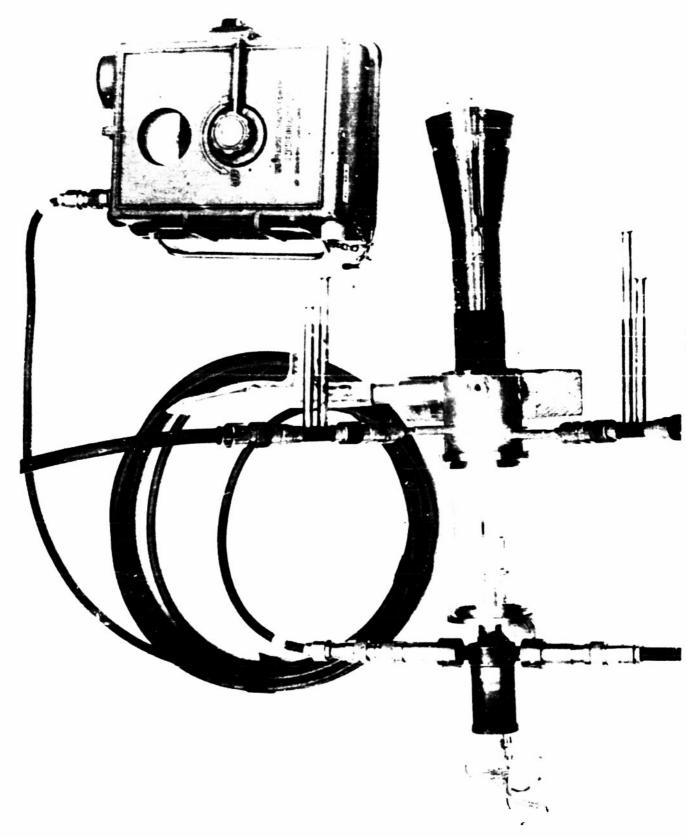
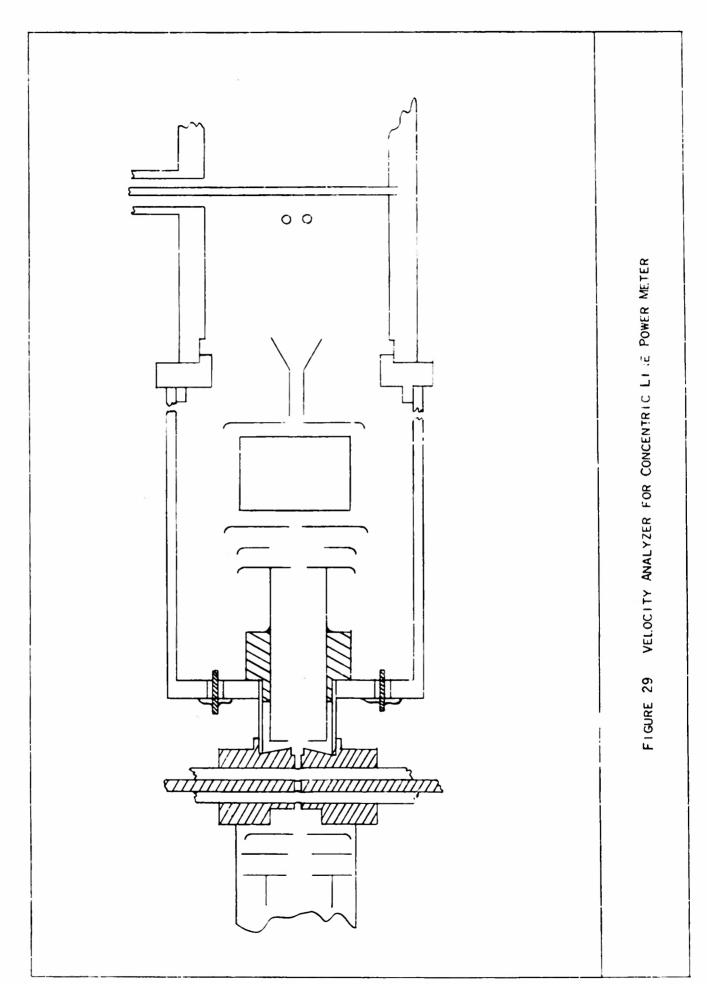
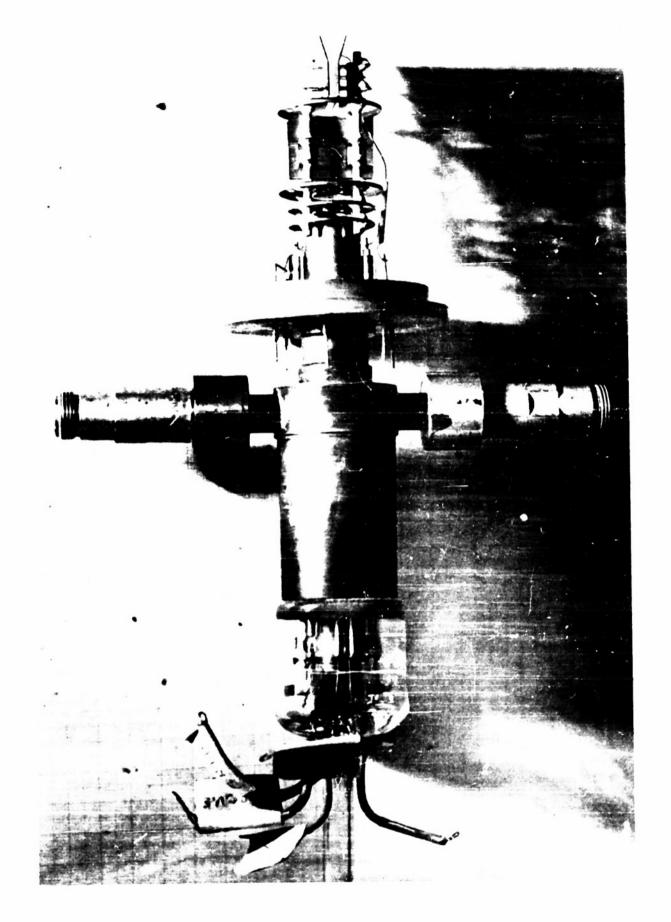


FIGURE 27 BEAW ANALYZER SYSTEM



-57-



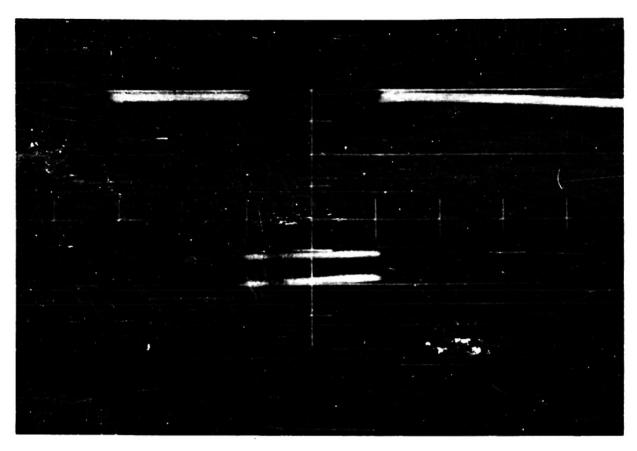


### 2 THE MICROWAVE CIRCUIT

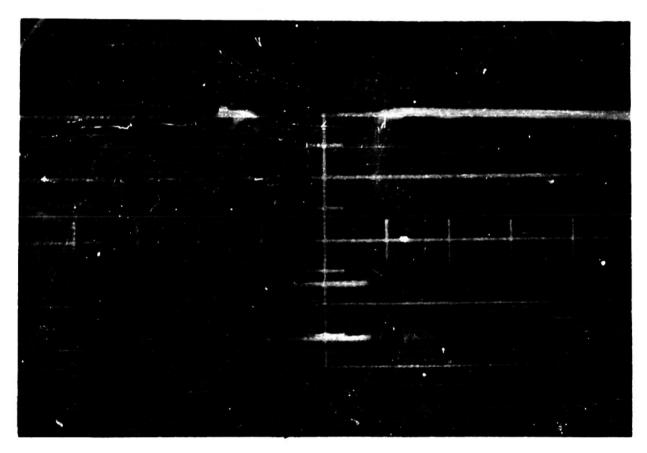
The r f generator was a Raytheon QK (59 62) cw type of magnetron. tunable over a narrow range in the 10 centimeter region. This magnetron was driven from a pulsed power supply although it could just as well have been cw operated The magnetron had been used previously in a set of experiments which required pulsed operation and for this purpose 4 hard tube pulser and power supply had been built which could drive the magnetron up to 300 watts peak. The masse duration could be adjusted from 5 30 micro seconds at a repetitio, rate up to 5000 times per second The pulse shape was sufficiently square so that no observable variation in power occurred during the duration of the pulse Typical pulse shapes of the voltage input and the power output of the magnetron are shown in the oscillograms Fig 31 and are a sufficiently good check on the performance of the magnetron during the "on" cycle As it turned out the choice of pulsed power operation was a good one since no heating of any of the transformer sections and couplings was observed even though it was suspected that some of the couplers were not perfect ly matched

An r f power divider which permitted a three way distribution of the r f energy was used to drive a components. The r f signal was divided between the Lecher wire lines and the concentric line velocity modulator. In order to provide a means for phasing the two pairs of Lecher wire lines 90° out of phase with respect to one another and at equal amplitudes of input signal, double stub tuners were provided for each of the Lecher wire inputs. One additional requirement was separation of the d-c circuits of the wire deflection system from the velocity modulator, in order to permit a difference of potential to exist between both r f systems

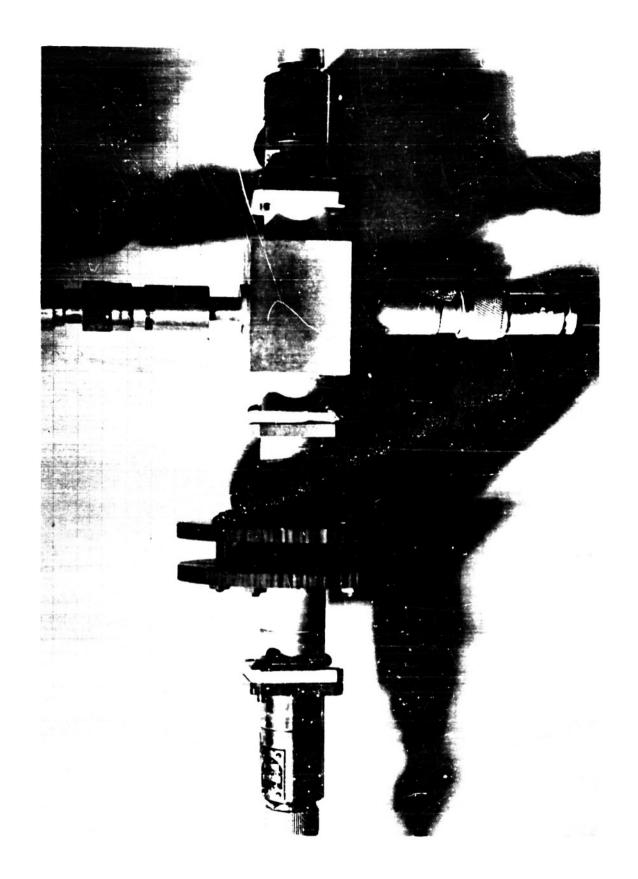
A coupler which permitted r f flow while providing d c separation was placed in series with the concentric line driving the velocity modulator. The r f power divider and d c separator are shown in the photograph. Fig. 32. The output of the velocity modulator was terminated in a type TS-125-10 centimeter wattmeter with a suitable attenuator provided between wattmeter and velocity modulator.



(a) PULSE DURATION  $\tau$  = 40  $\mu$ SEC: PPS = 400 PULSES /SEC. (UPPER PULSE - VOLTAGE INPUT - LOWER PULSE - R-F OUTPUT)



(b)  $\tau = 6 \mu SEC$ ; PPS = 100 PULSES/SEC (UPPER PULSE - VOLTAGE INPUT - LOWER PULSE - R-F OUTPUT) PULSE SHAPE OF R-F GENERATOR QK-60-MAGNETRON



-62

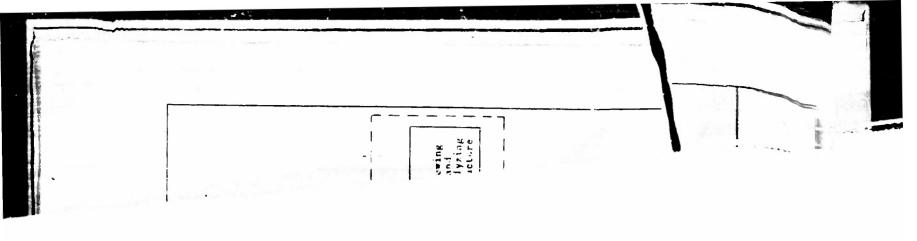
### 3 THE METHOD

The experiments with the velocity modulation source were performed in the following manner. With the r f system turned off, a beam was fired through the concentric line power meter and all lens voltage parameters adjusted so as to provide a small spot on the fluorescent screen. Deflection corrections due to slight magnetic field displace ments, misalignment of the first gun etc. were compensated by the displace electrostatic deflection system provided in the post accelerator so that the beam would pass through the small square aperture formed to crossed pairs of Lecher lines.

Firer the a ...

### 4 AN IDEALIZED BEAM ANALYZER

From the experimental point of view much could be done to improve the operation and accuracy of the measurements with this deflection-type beam analyzer. Most of the difficulties encountered with the experimental setup were due to the complete output connections.



#### 5. CONCLUSIONS

The velocity-modulation experiments making use of the circularly deflecting analyzer system have proven sufficiently valuable so as to suggest that a series of experimental studies can be carried on with modulated beams from other types of structures as well as further studies on the velocity modulated beam. The oscillograms of the bunched beam presented in the earlier sections show some evidence that space harge effects in the momentary bunch may be quite considerable and hould certainly not be neglected as is the case when analytic studies such beams are usually made

As was stated, the deflection system which was used for analyzing me beam emerging from the concentric line power meter was not designed or this purpose. The deflection system had been used for measurements a multipactor beam\* and was simply available for the studies premated.

The radial velocity sensitivity was rather small, about 80 volts/mm. hus, only comparatively large velocity spreads could be measured ever the velocity sensitivity in the analyzer is primarily a function of the drift length between the two pairs of Lecher wire lines. By sing this drift length much greater, an increase in sensitivity can be lized which would permit velocity sensitivity to increase as much as undred fold. In fact, by carefully refining the structures and easing the sensitivity it is quite possible to make measurements of velocity spread due to noise in a d-c beam

As an experimental program making use of an apparatus of the type cribed earlier in this report, a whole series of experimental studies d be made on modulated beams previously described only by analytical approximate methods.

<sup>45 19(122)-5,</sup> Final Report-Millimeter Wave Research, chapter 1, E. W. Ernat and

# PART IV APPENDICES

## APPENDIX I

## SYMBOLS

$\mathbf{a}_{\gamma}\mathbf{b}$	Major and minor axes of an ellipse
d	Diameter of one deflection wire
k	Bunching parameter
s	Distance between two wire pairs
$u_a$	Instantaneous ac energy component
<sup>9</sup> , 3	Electron velocities
<b>x</b> , <b>y</b>	Co-ordinates of deflection
A	An apparatus constant
Ü	Spacing of the wires in a single wire pair
L	Distance from deflector to screen
$P_{D}$	Power fed into the deflector
$P_{m}$	Power fed into modulator
R <sub>.</sub> r	Radii of :flection
S	Distance from modulator to deflector
$U_{\text{p}}U_{\text{o}},U_{\text{1}},U_{\text{2}}$	Beam voltages
${ m U_{ac}}$	ac energy components of the beam
$(\underline{\hat{U}}_{ac})_{\Delta R} (\underline{\underline{U}}_{ac})_{\Delta R}$	Maximum and Minimum ac energy component measured according to ΔR
$\mathbf{U_m}, \mathbf{U_s}; \mathbf{U_S}$	Characteristic voltages determined by the geometry of the system
$U_{\Delta}$	$\sqrt{U_s/16}$ - $\sqrt{U_m}$
$U_{\Sigma}$	$J_{s}/16 + \sqrt{U_{m}}$
δ	An adjustable phase angle
λ	Free space wave length
μ	Reduced instantaneous ac energy component
ρο; ρι	Space charge density at modulator and deflector planes respectively
σ	Relative velocity sensitivity

## SYMBOLS (contd)

Grast Slow	Relative velocity sensitivity along the fast and the slow axis
ξħ	Coordinates along the major and minor axes of an ellipse
φ	Phase angle along the beam
$\Phi_{\mathbf{O}}$ . $\Phi_{1}$	Phase angle of departure and arrivil
$\Phi_0$ $\Phi_1$	Phase angle at which bunches occur
ψ(U)	Coupling function of the modulator into the beam
е	Geometrical deflection angle Azimuthal angle
Δθ*	Bunch separation angle
$\phi$ , $\phi$ 0 $\phi$ 1	Transit angles

#### APPENDIX II

It may be pointed out that Eq. (52) takes care of the ac-velocity jump in the post-acceleration gap\* because the energy concept was used throughout

$$k = 1.285 - 10^3 : F_m = \frac{\psi(U_1)}{(U_1 + U_2)^{3/2}}$$
 (52)

Thus, for constant power delivered to the modulator, k should remain constant if the sum  $U_1+U_2$  and  $\psi(U_1)$  remain constant, whatever the post-acceleration voltage  $U_2$  may be Looking at the coupling function  $\psi(U_1)$  as presented in Fig. 14, it may be seen that  $\psi(U_1)$  remains almost constant for a wide variation of  $U_1-e$  g from 1600 volts to 3000 volts. This fact can be used to check experimentally the constancy of k with different post-acceleration voltages  $U_2$  only if the total beam voltage  $U_1+U_2$  remains constant

For this purpose an experiment was performed in which the power delivered to the modulato, was kept constant at 11 4 watts, the injector voltage  $U_1$  was raised stepwise and the post-acceleration voltage accordingly lowered in order to keep  $U_1 + U_2$  constant. Photographs were taken in five positions to measure k according to an observable bunch-separation angle  $\Delta\theta^*$ .

The values chosen are given in Table IA. The bunching parameter k is computed according to Eq. (52) and from this with Eq. (45) or Fig. 18, the value of the bunch separation angle  $\Delta\theta^*$  is determined. In the last column the expected ac-velocity jump is computed, which would satisfy Eq. (52). The ac-velocity component before and after the jump  $\{(\mathbf{v_{ac}})_0; (\mathbf{v_{ac}})_1\}$  are related to each other by:

$$\frac{(\mathbf{v_{ac}})_1}{(\mathbf{v_{ac}})_0} = \sqrt{\frac{U_1}{U_1 + U_2}}$$
 (1A)

TABLE IA							
Fig. No.	U,	U <sub>2</sub>	U1 +U2	ψ(U <sub>1</sub> )	k	$(\Delta \theta^{\bullet})_{\mathrm{Theor}}$	$\frac{(\mathbf{v_{ac}})_1}{(\mathbf{v_{ac}})_0}$
A1	1580	1930	3560	70.5	1 47	29°	0.666
A2	1780	1750	3530	72 - 5	1.54	35°	0.710
<b>A</b> 3	1980	1500	3480	73.0	1 - 59	40°	0.755
A4	2190	1250	3440	74-0	1.64	44°	0.799
<b>A</b> 5	2400	1000	3400	74.0	1.66	46°	0.840

See: Ping King Tien and Lester M. Field. Space-Charge Waves in an Accelerated Electron Stream for Amplification of Microwave Signals. Proceedings of the Institute of Radio Engineers. Volume 40. Appendix I. pp 688-695.

It may be noted that the total beam voltage was not kept constant during the experiment which causes a slight increase in k. The reason for the lack of constancy in the total beam voltage is that a change in  $U_1$  changes the exit phase of the modulated beam after passing through the modulator and has to be compensated by a change of the drift space if one desires to place the bunching action along the sensitive slow axis is keep it on a constant arrival phase angle.

The pictures taken are presented in Figs. Al to A5. The expected bunch separation angle  $\Delta\theta^{\bullet}$  is indicated on the pictures. The agreement

can be considered as good

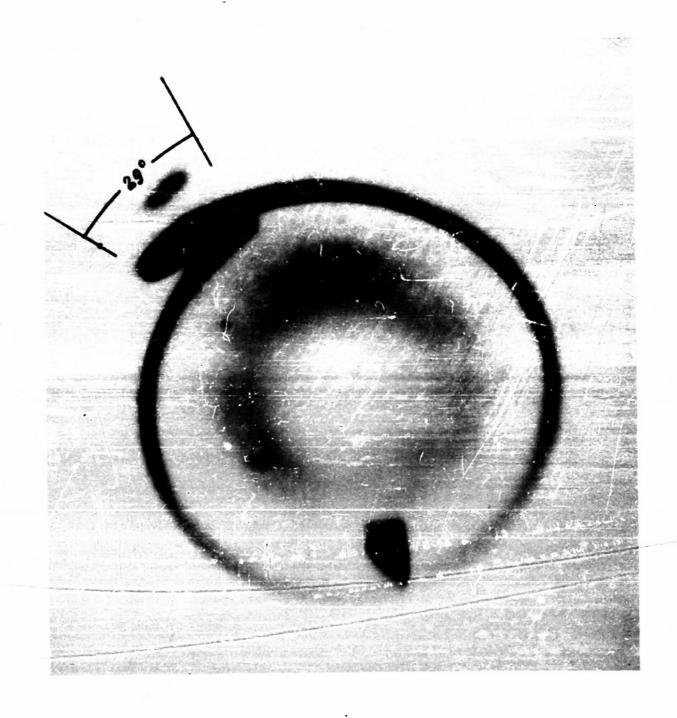
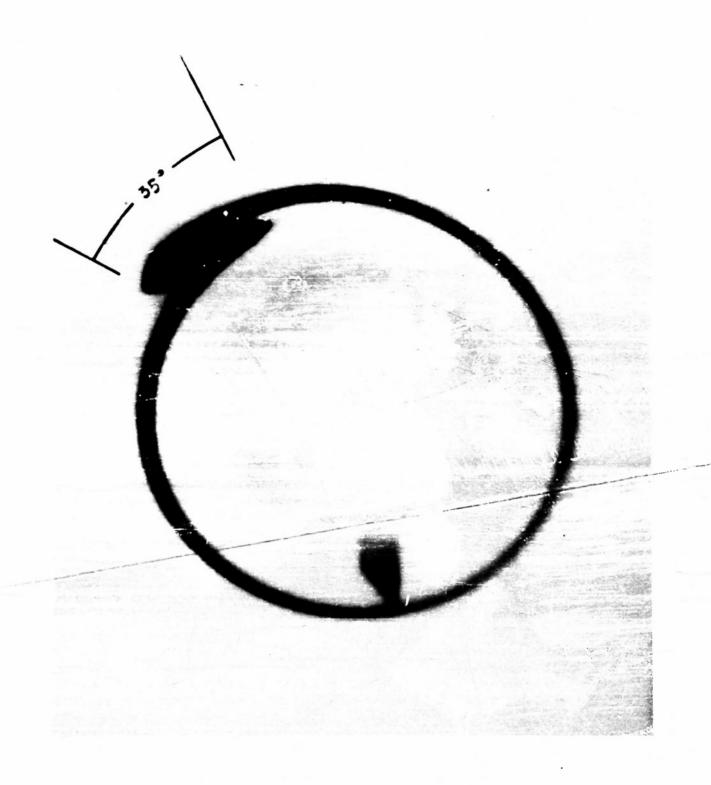


FIGURE A1  $U_1 = 1580 \text{ VOLTS} \qquad K = 1.47$   $U_2 = 1980 \text{ VOLTS} \qquad \Delta\theta^{\bullet} = 29^{\circ}$   $U_1 + U_2 = 3560 \text{ VOLTS}$ 



## FIGURE A2

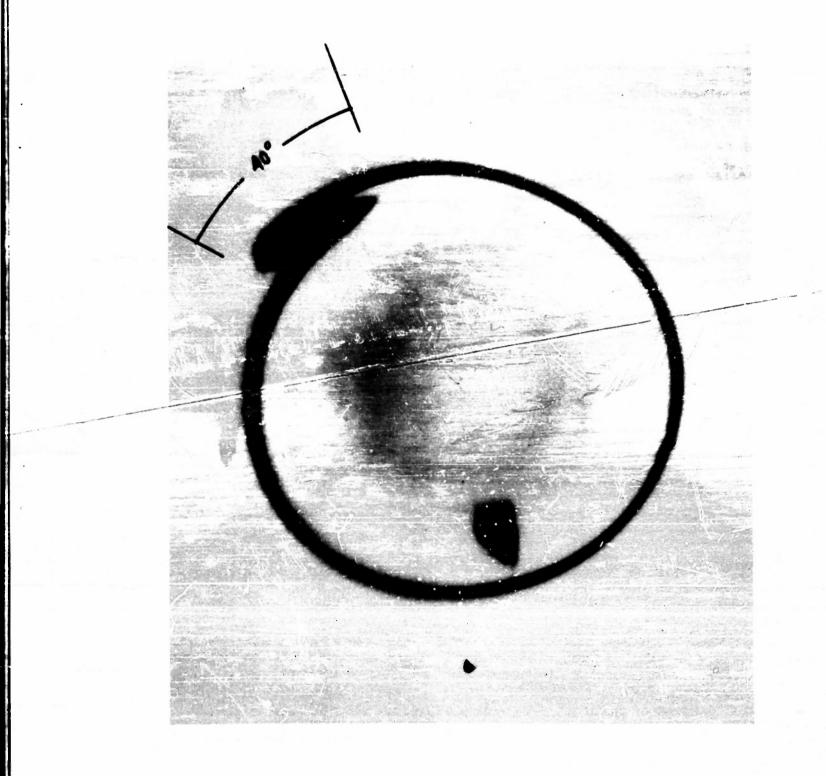


FIGURE A3

 $U_1 = 1980 \text{ VOLTS}$  K = 1.59  $U_2 = 1500 \text{ VOLTS}$   $\Delta\theta^* = 40^\circ$   $U_1 + U_2 = 3480 \text{ VOLTS}$ 

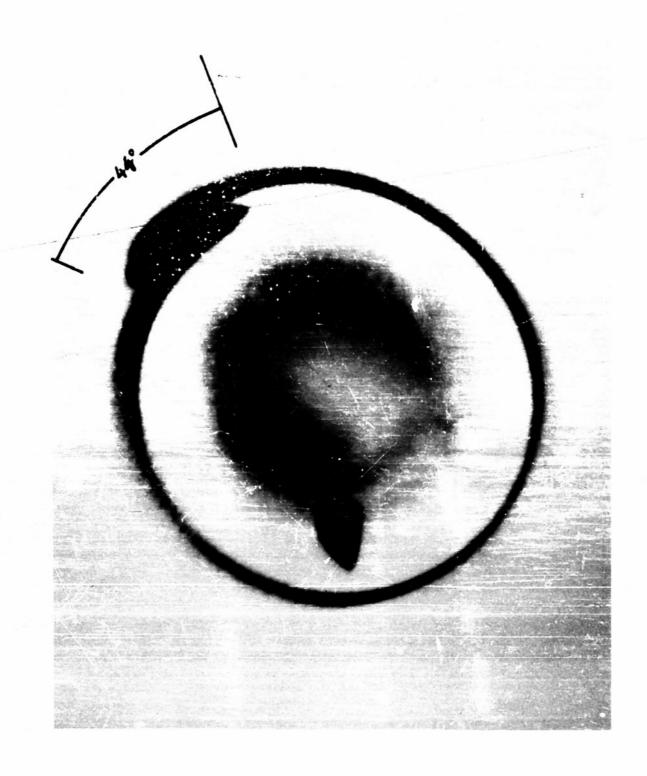


FIGURE A4



FIGURE A5  $U_1 = 2400 \text{ VOLTS}$  K = 1.66  $U_2 = 1000 \text{ VOLTS}$   $\Delta \theta^* = 46^\circ$   $U_1 + U_2 = 3400 \text{ VOLTS}$ 

- 14 Ramo and Whinnery Pelds and Waves in Modern Radio Wiley New York 944. Chapter 9.
- 15 Budenberg, H.G. Deflection Sensitivity of Parallel Wire Lines in Cathode Ray Cscillographs. J. Appl. Phys. Volume 16 May 1945 p 279.
- Smith, S.T., Talbot, R.V. and Smith, C.H., Jr. Cathode Ray Tube for Recording High-Speed Transients. Proceedings of the Institute of Radio Engineers, Volume 40 March, 1952 pp 297-302